

# CENTRAL EXTENSIONS OF STEPHENSON'S ALGEBRAS

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ABSTRACT. This paper completes the classification of central extensions of three dimensional Artin-Schelter regular algebras to four dimensional Artin-Schelter regular algebras. Let  $A$  be an AS regular algebra of global dimension three and let  $D$  be an extension of  $A$  by a central graded element  $z$ , i.e.  $D/\langle z \rangle = A$ . If  $A$  is generated by elements of degree one, those algebras  $D$  which are again AS regular have been classified in [1] and [2]. If  $A$  is not generated by elements of degree one, then  $A$  falls under a classification due to Stephenson [3, 4]. We classify the AS regular central extensions of Stephenson's algebras by proving that the regularity of  $D$  and  $z$  is equivalent to the regularity of  $z$  in low degree and this is equivalent to easily verifiable conditions on the defining relations for  $D$ .

## 1. INTRODUCTION

Artin-Schelter regular (AS regular), graded  $k$ -algebras were defined by M. Artin and W. Schelter in [5]. The AS regular algebras of global dimension three which are generated by degree one elements were classified in [5, 6, 7]. In [3] and [4] Stephenson extended this classification and showed that there are AS regular algebras of global dimension three that are not generated by

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elements of degree one. The AS regular algebras of global dimension four have proven more difficult to classify.

One way to find 4-dimensional regular algebras is to look at central extensions of 3-dimensional regular algebras. We call an algebra  $D$  with graded central element  $z$  such that  $A \cong D/\langle z \rangle$  a *central extension* of  $A$ . One notices that for any AS regular algebra  $A$  of global dimension 3, the central extension  $A \otimes_k k[z]$  is an AS regular algebra of global dimension 4. This observation leads to the question: given a central extension  $D$  of a 3-dimensional AS regular algebra, is  $D$  itself AS regular? In particular, can one determine regularity from the defining relations for  $D$ ? This question was answered in [1] and [2] for the cases when  $A$  is generated by elements of degree one.

While many examples of 4 dimensional AS regular algebras have been studied, most of these are algebras generated by elements of degree one ([1, 8, 9, 10, 11]). The geometry of 3 dimensional AS regular algebras that are not generated by elements of degree one has been investigated in [4] and [12], and it is reasonable to hope that this kind of inquiry could also be carried out for 4 dimensional AS regular algebras that are not generated by elements of degree one.

In this paper we show that theorems analogous to those in [2] hold for central extensions of Stephenson's algebras. In particular, we show that the regularity of  $D$  and of the central element  $z$  are equivalent to the regularity of  $z$  in low degree, and we show how to determine this from the defining relations for  $D$ . Our main results are stated in Theorems 3.7 and 4.5. Given the relations for an algebra  $A$ , the fourth condition in our theorems provides a method for producing new examples of 4 dimensional AS regular algebras. Section 5 contains examples of AS regular algebras which can be found using these theorems. These central extensions are all Noetherian domains.

Section 2 contains technical definitions and the notation which will be used throughout the paper. In sections 3 and 4 we determine which central extensions of Stephenson's algebras are AS regular of global dimension 4. In section 3 we consider the algebras with two generators and in section 4 we look at the algebras with three generators.

## 2. DEFINITIONS AND NOTATION

Throughout the paper,  $k$  denotes an algebraically closed field of characteristic different from two. All algebras herein are assumed to be finitely generated, connected,  $\mathbb{N}$ -graded  $k$ -algebras. The set of all homogeneous elements of degree  $n$  in an algebra  $B$  will be denoted by  $B_n$ . When  $n < 0$  let  $B_n = 0$ .

**Definition 2.1.** [5, Page 171] A connected  $\mathbb{N}$ -graded  $k$ -algebra  $B$  is called Artin-Schelter regular (AS regular) if

- (a) the global (homological) dimension of  $B$ , denoted  $\text{gldim}(B)$ , is finite,
- (b) the Gelfand-Kirillov dimension of  $B$ , denoted  $\text{GKdim}(B)$ , is finite, and
- (c)  $B$  is Gorenstein; that is,  $\text{Ext}_B^q(k, B) = \delta_n^q k$  for all  $q \geq 0$  where  $n = \text{gldim}(B)$  and  $\delta_n^q$  is the Kronecker delta.

**Definition 2.2.** A homogeneous element  $z$  of a graded algebra  $B$  is said to be regular if it is neither a left nor a right zero divisor. We say  $z$  is  $n$ -regular if both left and right multiplication by  $z$  are injective on  $B_n$ .

Throughout this paper  $A$  will be an AS regular algebra of global dimension 3 with fixed generators  $x_i$  of degrees  $\mu_i$ , and  $D$  will be a  $k$ -algebra with graded central element  $z$  of degree  $\alpha$  such that  $D/\langle z \rangle = A$ . If  $A$  is generated by  $A_1$ , the AS regular central extensions of  $A$  have already been classified and we therefore restrict our attention to algebras  $A$  which are not generated by elements of degree one. Stephenson [3] has shown that such algebras come in two families. Algebras of type  $(a, b)$  have two generators in degrees  $a$  and  $b$  where  $a < b$  and  $\text{gcd}(a, b) = 1$ . Algebras of type  $(a, b, c)$  have three generators in degrees  $a, b$ , and  $c$ , where  $a \leq b \leq c$ ,  $a < c$ , and  $\text{gcd}(a, b, c) = 1$ . From [4] and [3] we know that the algebras  $A$  are Noetherian domains.

If  $A$  is of type  $(a, b)$ , we will write the generators as  $\{x_1, x_2\}$ ; when  $A$  is of type  $(a, b, c)$  the generators will be  $\{x_1, x_2, x_3\}$ . Let  $j \in \{2, 3\}$  be the number of generators of  $A$  and let  $X = (x_1, \dots, x_j)^t$ . By Proposition 2.5 in [3] we can choose a basis for the vector space of relations, say  $\{f_i\}$ , so that if  $F = (f_1, \dots, f_j)^t$ , there is a  $j \times j$  matrix  $M$  with homogeneous entries from  $k\langle x_1, \dots, x_j \rangle$  such that  $MX = F$  and the entries of  $X^t M$  generate the ideal  $\langle f_1, \dots, f_j \rangle$ . If  $A$  is of type  $(a, b)$ , set  $\delta_1 = \text{deg}(f_1) = a + 2b$  and  $\delta_2 = \text{deg}(f_2) =$

$2a + b$ . If  $A$  is of type  $(a, b, c)$ , set  $\delta_1 = \deg(f_1) = b + c$ ,  $\delta_2 = \deg(f_2) = a + c$ , and  $\delta_3 = \deg(f_3) = a + b$ .

Let  $T$  be the free algebra on the generators of  $A$  and on an additional element  $z$  of degree  $\alpha$ . Let  $\tilde{T}$  be a quotient of  $T$  wherein the image of  $z$  is central, that is  $\tilde{T} = T/\langle x_1z - zx_1, \dots, x_jz - zx_j \rangle$ . The  $k$  algebra  $D$  will be generated by  $\{x_1, \dots, x_j, z\}$ , where  $z \in D_\alpha$  is central. If we write  $D$  as  $\tilde{T}/I$ , then there are homogeneous relations  $r_1, \dots, r_j \in I$  such that  $(r_1, \dots, r_j)^t = F + Ez$  where  $E = (e_1, \dots, e_j)^t$  with homogeneous entries  $e_i \in \tilde{T}_{\delta_i - \alpha}$ . For notational convenience we will use the same letters to denote elements of the algebras  $T$ ,  $\tilde{T}$ ,  $D$ , and  $A$ .

Since  $z$  is central and  $D/\langle z \rangle = A$ , we define the functor  $\pi : \{\text{graded left } D\text{-modules}\} \rightarrow \{\text{graded left } A\text{-modules}\}$  by  $M \mapsto M/Mz$ .

To each 3 dimensional AS regular algebra which is generated by elements of degree one, we can associate an invertible matrix  $Q$ . This matrix is used in [2] to determine which central extensions are AS regular. For Stephenson's algebras, which are not generated by elements in degree one, there is no matrix  $Q$ . However, for each of Stephenson's algebras there is a linear function  $q : \tilde{T}^j \rightarrow \tilde{T}^j$  which will play a role analogous to the matrix  $Q$ . Since the entries of  $X^t M$  and  $\{f_1, \dots, f_j\}$  generate the same ideal in  $k\langle x_1, \dots, x_j \rangle$ , each entry of  $X^t M$  can be written as a sum of the products of the  $f_i$  and certain homogeneous elements from  $k\langle x_1, \dots, x_j \rangle$ . Since  $k\langle x_1, x_2, x_3 \rangle$  is a subring of  $\tilde{T}$ , this particular multiplication and summation defines a function  $q : \tilde{T}^j \rightarrow \tilde{T}^j$  such that  $q(F) = (X^t M)^t$ .

For example, let  $A(+)$  be the algebra generated by  $x, y$  of degrees 1 and 2 respectively, with two defining relations:

$$f_1 = xy^2 + 2xyx^2 + iy^2x + 2iyx^3$$

$$f_2 = x^2y + yx^2 + y^2$$

This is a global dimension 3 AS regular algebra (cf. [3, 4]). Since  $(f_1, f_2)^t = MX$ , we see that

$$M = \begin{pmatrix} 2xyx + iy^2 + 2iyx^2 & xy \\ yx & x^2 + y \end{pmatrix}.$$

By comparing  $MX$  and  $X^tM$  we determine that the function  $q$  is given by

$$q \begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} iu + 2vx \\ v \end{pmatrix}.$$

We will return to this example in section 5. Just like the matrix  $Q$  in [2], the function  $q$  will determine which central extensions are in fact AS regular.

Since  $A$  is AS regular of global dimension 3, there is an exact sequence  $S^\bullet$  of left  $A$  (or  $D$ ) modules of the form:

$$\begin{array}{cccccccc} & S^3 & & S^2 & & S^1 & & S^0 \\ 0 \rightarrow & A[-\delta_1 - \mu_1] & \xrightarrow{X^t} & \bigoplus_{i=1}^j A[-\delta_i] & \xrightarrow{M} & \bigoplus_{i=1}^j A[-\mu_i] & \xrightarrow{X} & A \rightarrow k \rightarrow 0 \end{array}$$

Throughout this paper  $a_n$  will refer to the  $k$  vector space dimension of  $A_n$  and  $d_n$  will be the  $k$  vector space dimension of  $D_n$ .

**Remark 2.3.** Notice from the above sequence that  $A$  has the Hilbert series

$$H(t) = (1 - \sum_{i=1}^j t^{\mu_i} + \sum_{i=1}^j t^{\delta_i} - t^{\mu_1 + \delta_1})^{-1}$$

and that when  $j = 2$

$$a_n = a_{n-\mu_1} + a_{n-\mu_2} - a_{n-\mu_1-2\mu_2} - a_{n-2\mu_1-\mu_2} + a_{n-\mu_1-\delta_1} + \delta_0^n$$

for all  $n$ , where  $\delta_0^n$  is the Kronecker delta. Likewise, when  $j = 3$ ,

$$a_n = \sum_{i=1}^3 a_{n-\mu_i} - \sum_{i=1}^3 a_{n-\delta_i} + a_{n-\mu_1-\delta_1} + \delta_0^n$$

for all  $n$ . These recurrence relations are needed only for the proofs of Lemmas 3.3 and 4.4.

### 3. EXTENSIONS OF ALGEBRAS WITH TWO GENERATORS

In this section  $A$  will be AS regular of type  $(a, b)$ . Stephenson has shown that if  $1 < a \leq b$ ,  $A$  can be given a new gradation so that the generators have degree one, and therefore he only considers algebras of type  $(1, b)$  where  $b > 1$ . However, even when  $A$  can be regraded, it will not always be possible to regrade  $D$ , and so we will not assume that  $a$  is 1.

Let  $X = (x_1, x_2)^t$  and  $\mu_3 = \alpha$ ,  $\delta_3 = \mu_1 + \alpha$  and  $\delta_4 = \mu_2 + \alpha$ . Let  $l = \alpha + 2\mu_1 + 2\mu_2$ . Let  $P^\bullet$  be an augmented sequence of graded, projective left  $D$  modules of the form:

$$\begin{array}{cccccccc} & P^4 & & P^3 & & P^2 & & P^1 & & P^0 \\ 0 \rightarrow & D[-l] & \xrightarrow{\Omega} & \bigoplus_{i=1}^3 D[\mu_i - l] & \xrightarrow{\gamma} & \bigoplus_{i=1}^4 D[-\delta_i] & \xrightarrow{\phi} & \bigoplus_{i=1}^3 D[-\mu_i] & \xrightarrow{\Omega^t} & D \xrightarrow{\epsilon} k \end{array}$$

with the usual graded augmentation map  $\epsilon$  and matrices

$$\phi = \begin{pmatrix} M & E \\ z & 0 & -X \\ 0 & z & -X \end{pmatrix}, \gamma = \begin{pmatrix} -z & 0 & M \\ 0 & -z & X^t \\ X^t & q(E)^t & \end{pmatrix}, \Omega = (x_1, x_2, z).$$

$P_n^*$  will refer to the degree  $n$  part of this sequence.

Let  $\pi(P^*)$  be the sequence of  $D$  modules:

$$\begin{array}{ccccccc} \pi(P^4) & & \pi(P^3) & & \pi(P^2) & & \pi(P^1) & & \pi(P^0) \\ A[-l] & \xrightarrow{\bar{\Omega}} & \bigoplus_{i=1}^3 A[\mu_i - l] & \xrightarrow{\bar{\gamma}} & \bigoplus_{i=1}^4 A[-\delta_i] & \xrightarrow{\bar{\phi}} & \bigoplus_{i=1}^3 A[-\mu_i] & \xrightarrow{\bar{\Omega}^t} & A \end{array}$$

with  $\bar{\phi} = \pi(\phi)$ ,  $\bar{\Omega} = \pi(\Omega)$  and  $\bar{\gamma} = \pi(\gamma)$ .

Section 3.1 consists of technical lemmas, which the reader may prefer to skip.

### 3.1. Preliminary Lemmas.

**Remark 3.1.** If  $\alpha = \deg(z) > \mu_1 + 2\mu_2$  then  $D$  is the polynomial extension  $A[z]$  and so is always AS regular. Therefore we consider elements  $z$  of degree  $\alpha \leq \mu_1 + 2\mu_2$ .

**Remark 3.2.** Let  $\deg(z) = \alpha$ . Since  $A$  and  $D/zD$  are isomorphic as graded algebras, we see that  $d_{n+\alpha} \leq d_n + a_{n+\alpha}$  with equality if and only if  $z$  is  $n$ -regular.

**Lemma 3.3.** *Let  $\deg(z) = \alpha$ . If  $P^*$  is exact in degree  $n + \alpha$  and  $z$  is  $m$ -regular  $\forall m < n$ , then  $z$  is  $n$ -regular.*

**Proof.** By exactness:

$$\begin{aligned} d_{n+\alpha} &= d_{n+\alpha-\mu_1} + d_{n+\alpha-\mu_2} + d_n - d_{n+\alpha-2\mu_2-\mu_1} - d_{n+\alpha-\mu_2-2\mu_1} - d_{n-\mu_1} - d_{n-\mu_2} \\ &\quad + d_{n+\alpha-2\mu_1-2\mu_2} + d_{n-2\mu_2-\mu_1} + d_{n-\mu_2-2\mu_1} - d_{n-2\mu_2-2\mu_1} + \delta_0^{n+\alpha} \end{aligned}$$

By 3.2 applied to  $d_{n+\alpha-\mu_1}$ ,  $d_{n+\alpha-\mu_2}$ ,  $d_{n+\alpha-2\mu_2-\mu_1}$ ,  $d_{n+\alpha-\mu_2-2\mu_1}$  and  $d_{n+\alpha-2\mu_2-2\mu_1}$  and by 2.3 we obtain

$$\begin{aligned} d_{n+\alpha} &= d_n + a_{n+\alpha-\mu_1} + a_{n+\alpha-\mu_2} - a_{n+\alpha-\mu_1-2\mu_2} - a_{n+\alpha-2\mu_1-\mu_2} + a_{n+\alpha-2\mu_1-2\mu_2} + \delta_0^{n+\alpha} \\ &= d_n + a_{n+\alpha} \text{ as required.} \quad \square \end{aligned}$$

Let  $(r_1, r_2)^t = F + Ez$ ,  $r_3 = x_1z - zx_1$  and  $r_4 = x_2z - zx_2$ . We wish to write the central extension  $D$  as  $T/\langle r_1, \dots, r_4 \rangle$ . Any central extension of  $A$  can be expressed as  $T/I$  where the ideal  $I$  must contain  $\{r_1, \dots, r_4\}$ . The following

proposition ensures that when  $D$  is a 4 dimensional AS regular algebra the set  $\{r_1, \dots, r_4\}$  actually generates  $I$ , and so we may write  $D = T/\langle r_1, \dots, r_4 \rangle$ .

**Proposition 3.4.** *Let  $\deg(x_1) = a$ ,  $\deg(x_2) = b$  and  $\deg(z) = \alpha$ . Suppose  $D = T/I$  is AS regular of global dimension 4 with  $I = \langle r_1, r_2, \dots, r_{m-1} = x_1z - zx_1, r_m = x_2z - zx_2 \rangle$  for some  $m \in \mathbb{N}$ , such that  $r_1 \equiv f_1 \pmod{z}$  and  $r_2 \equiv f_2 \pmod{z}$  where  $f_1$  and  $f_2$  are the two relations in  $A$ . Then there exists a choice of the relations  $r_i$  so that  $m=4$ .*

**Proof.** We first consider the case that  $z$  is not a superfluous generator. If we temporarily disregard shifts in grading, a minimal projective resolution of the trivial left  $D$  module  $k$  must have the form:

$$0 \longrightarrow D^{n_2} \longrightarrow D^{n_1} \longrightarrow D^m \longrightarrow D^3 \longrightarrow D \longrightarrow k$$

It follows from the Gorenstein condition that the minimal resolution of  $k$  must be 'symmetric' so that  $n_1 = 3$  and  $n_2 = 1$ . Now let  $g$  be a positive integer so that the following is a minimal graded projective resolution of  $k$ :

$$0 \longrightarrow D[-g] \longrightarrow \bigoplus_{i=1}^3 D[\mu_i - g] \longrightarrow \bigoplus_{i=1}^m D[-\delta_i] \longrightarrow \bigoplus_{i=1}^3 D[-\mu_i] \longrightarrow D \longrightarrow k$$

Let  $H_D$  be the Hilbert series of  $D$ . From the resolution we have  $H_D(t)p(t) = 1$  where  $p(t) = t^g - \sum_{i=1}^3 t^{g-\mu_i} + \sum_{i=1}^m t^{\delta_i} - \sum_{i=1}^3 t^{\mu_i} + 1$ . Since  $D$  is infinite dimensional,  $p(1) = 0$ , and so  $m = 4$ . Also, since  $A$  is a homomorphic image of  $D$  and  $\text{GKdim}(A) = 3$ , it follows from [13] 8.2.2 that  $\text{GKdim}(D) \geq 3$ , which means that  $p'(1) = 0$  and  $p''(1) = 0$ . Since  $z$  is not superfluous in  $D$  it is clear that  $D$  requires defining relations which are congruent to  $f_1$  and  $f_2 \pmod{z}$ , and so we may let  $\delta_1 = \mu_1 + 2\mu_2$  and  $\delta_2 = 2\mu_1 + \mu_2$ . Then  $0 = p'(1) = 3\mu_1 + 3\mu_2 + \delta_3 + \delta_4 - 2g$ . It follows from Gorenstein symmetry that  $\delta_3 = g - \mu_1 - 2\mu_2$  and  $\delta_4 = g - 2\mu_1 - \mu_2$ . Therefore  $0 = p''(1) = 8\mu_1^2 + 16\mu_1\mu_2 + 8\mu_2^2 - 4\mu_1g - 4\mu_2g + 2g\alpha - 2\alpha^2 = 2(2\mu_1 + 2\mu_2 - \alpha)(2\mu_1 + 2\mu_2 + \alpha - g)$ . Since  $\alpha < \mu_1 + 2\mu_2$  we conclude that  $g = \alpha + 2\mu_1 + 2\mu_2$ ,  $\delta_3 = \alpha + \mu_1$  and  $\delta_4 = \alpha + \mu_2$ .

Once we know there are four relations of degrees  $\mu_1 + 2\mu_2$ ,  $2\mu_1 + \mu_2$ ,  $\mu_1 + \alpha$  and  $\mu_2 + \alpha$  we may pick  $(r_1, r_2)^t = F + Ez$ ,  $r_3 = x_1z - zx_1$  and  $r_4 = x_2z - zx_2$  as generators for  $I$ .

Now suppose that  $z$  is a superfluous generator, which means  $\alpha \in \{\mu_1 + 2\mu_2, 2\mu_1 + \mu_2\}$ . In this case a minimal graded projective resolution of the

trivial left  $D$  module  $k$  must have the form:

$$0 \longrightarrow D[-g] \longrightarrow \bigoplus_{i=1}^2 D[\mu_i - g] \longrightarrow \bigoplus_{i=1}^m D[-\delta_i] \longrightarrow \bigoplus_{i=1}^2 D[-\mu_i] \longrightarrow D \twoheadrightarrow k$$

Here the Hilbert series for  $D$  is given by  $1/p(t)$  where  $p(t) = t^g - \sum_{i=1}^2 t^{g-\mu_i} + \sum_{i=1}^m t^{\delta_i} - \sum_{i=1}^2 t^{\mu_i} + 1$ . Again  $p(1) = 0$ , and so  $m = 2$ . If  $\alpha = 2\mu_1 + \mu_2$ ,  $D$  must have a relation of degree  $\mu_1 + 2\mu_2$ , and  $p'(1) = p''(1) = 0$  implies  $\delta_2 = \alpha + \mu_1$ . In this case  $I$  is generated by  $r_1$  and  $r_3$ . If  $\alpha = \mu_1 + 2\mu_2$ ,  $D$  must have a relation of degree  $2\mu_1 + \mu_2$ , and  $p'(1) = p''(1) = 0$  implies  $\delta_2 = \alpha + \mu_2$ . Here  $I$  is generated by  $r_2$  and  $r_4$ . In either case,  $g = 2\mu_1 + 2\mu_2 + \alpha$  as before.

Whether or not  $z$  is a superfluous generator, the set  $\{r_1, \dots, r_4\}$  will generate  $I$ , and we may write  $D = T/\langle r_1, \dots, r_4 \rangle$ .  $\square$

One consequence of the proof of Theorem 3.7 is that if  $D$  is AS regular, then  $P^\bullet$  is a resolution of  $k$ , although as we saw in the proof of Propostion 3.4 this resolution is minimal only when  $z$  is not superfluous. From here on we assume that  $D = T/\langle r_1, \dots, r_4 \rangle$ . The proof of the following lemma is identical to that of Lemma 3.5 in [2].

**Lemma 3.5.**  *$P^\bullet$  is exact at  $P^1$ ,  $P^0$  and  $k$ .*

Now we show that if  $P^\bullet$  is a resolution of  $k$ , then  $\text{GKdim}(D)=4$ .

**Proposition 3.6.** *Suppose  $D = \tilde{T}/\langle r_1, r_2 \rangle$  and  ${}_D k$  has a projective resolution of the form:*

$$0 \longrightarrow D[-g] \xrightarrow{\Omega} \bigoplus_{i=1}^3 D[\mu_i - g] \xrightarrow{\gamma} \bigoplus_{i=1}^4 A[-\delta_i] \xrightarrow{\phi} \bigoplus_{i=1}^3 D[-\mu_i] \xrightarrow{\Omega^t} D \xrightarrow{\epsilon} k$$

Then  $\text{GKdim}(D) = 4$ .

**Proof.** The resolution implies that  $D$  has the Hilbert series

$$\begin{aligned} H_D(t) &= (t^g - \sum_{i=1}^3 t^{g-\mu_i} + \sum_{i=1}^4 t^{\delta_i} - \sum_{i=1}^3 t^{\mu_i} + 1)^{-1} \\ &= [(t^{\mu_1} - 1)(t^{\mu_2} - 1)(t^\alpha - 1)(t^{\mu_1+\mu_2} - 1)]^{-1}. \end{aligned}$$

As in [14], we know  $\text{GKdim}$  is the order of the pole of  $H_D(t)$  at 1 and so we have  $\text{GKdim}(D) = 4$ .  $\square$

### 3.2. Extensions.

Recall that  $\tilde{T} = k\langle x_1, x_2, z \rangle / \langle x_1z - zx_1, x_2z - zx_2 \rangle$ .

**Theorem 3.7.** *Let  $A = D/\langle z \rangle$  be a global dimension 3 AS regular algebra of type  $(a, b)$  defined by relations  $F = MX$  where  $X^tM = q(F)$ . Let  $z \in D_\alpha$  be central. Write  $D = \tilde{T}/\langle r_1, r_2 \rangle$  where  $(r_1, r_2)^t = F + Ez$  for  $E$  in  $\tilde{T}^2$ . The following are equivalent:*

- (1)  $D$  is an Artin-Schelter regular algebra (of global dimension 4).
- (2)  $z$  is a regular element of  $D$ .
- (3)  $z$  is a  $(2b + 2a - \alpha)$ -regular element of  $D$ .
- (4)  $q(E)^tX = X^tE$  in  $D$ .

**Remark 3.8.** Note that the degree of  $X^tE$  is  $2a_1 + 2b - \alpha$  so that if  $\alpha > b$  the equality in condition (4) exists in degree below that of the defining relations for  $D$ . Consequently, if  $\alpha > b$ , one can verify the equality  $q(E)^tX = X^tE$  in  $\tilde{T}$ .

**Remark 3.9.** Since the defining relations for  $D$  have degrees  $b + 2a$  and  $2b + a$ , the element  $z$  is automatically  $n$ -regular for all  $n \leq b + 2a - \alpha$ .

**Proof** of Theorem 3.7.

(1)  $\Rightarrow$  (2): Since  $z$  is central in  $D$  and  $D/\langle z \rangle$  is Noetherian,  $D$  is also Noetherian. By theorem 3.9 in [7], Noetherian AS-regular algebras of global dimension four are domains, and hence  $z$  is regular in  $D$ .

(3)  $\Rightarrow$  (4): The relations for  $D$  are given by  $MX + Ez$ , hence in  $D^2$  we have  $MX = -Ez$ . This implies that  $X^tMX = -X^tEz$  and that  $q(MX) = -q(Ez) = -q(E)z$ , since  $z$  is central. But  $X^tM = q(MX)^t$ , and so  $q(MX)^tX = -X^tEz$  which means  $q(E)^tXz = X^tEz$ . Now  $X^tE \in D_{2b+2a-\alpha}$  and  $z$  is  $(2b + 2a - \alpha)$ -regular in  $D$ , so  $z$  can be canceled to get  $q(E)^tX = X^tE$ .

(4)  $\Rightarrow$  (1): We prove this in three steps. First we show that  $P^\bullet$  is a complex. Then we show that  $\pi(P^\bullet)$  is exact at  $\pi(P^3)$  and  $\pi(P^2)$ . Finally, we prove by induction that  $P^\bullet$  is exact and  $z$  is regular.

Step One: We show that  $P^\bullet$  is a complex. By Lemma 3.5, it suffices to see that  $\Omega\gamma = 0$  and  $\gamma\phi = 0$ .

$$\Omega\gamma = (-X^tz + zX^t, X^tM + zq(E)^t)$$

Since  $z$  is central,  $-X^t z + z X^t = 0$  in  $D^2$ . Notice that  $X^t M + z q(E)^t = q(MX)^t + q(E)^t z = q(MX + Ez)^t = 0$  in  $D$ , and so  $\Omega\gamma = 0$ . Now consider

$$\gamma\phi = \begin{pmatrix} -zM + Mz & -zE - MX \\ X^t M + q(E)^t z & X^t E - q(E)^t X \end{pmatrix}$$

By condition (4) we have  $X^t E - q(E)^t X = 0$  in  $D$ , and all other entries are clearly zero. Therefore  $\gamma\phi = 0$  in  $D$ .

Step Two:

We show that  $\pi(P^\bullet)$  is exact at  $\pi(P^3)$  and  $\pi(P^2)$ . Since  $P^\bullet$  is a complex,  $\pi(P^\bullet)$  is also a complex. Let  $(a, b, c) \in \pi(P^3)$  such that  $(a, b, c)\bar{\gamma} = (cx_1, cx_2, (a, b)M + cq(E)^t) = (0, 0, 0, 0)$ . Since  $A$  is a domain,  $c = 0$ , and so  $(a, b)M = (0, 0)$ . Since  $S^\bullet$  is exact at  $S^2$ ,  $(a, b) = dX^t$  for some  $d \in A$  and so  $(a, b, c) = d\bar{\Omega}$ . Thus  $\pi(P^\bullet)$  is exact at  $\pi(P^3)$ . To see that  $\pi(P^\bullet)$  is exact at  $\pi(P^2)$ , let  $(a, b, c, d) \in \pi(P^2)$  such that

$$(a, b, c, d)\bar{\phi} = ((a, b)M, (a, b)E - cx_1 - dx_2) = (0, 0, 0)$$

Since  $(a, b)M = (0, 0)$  and  $S^\bullet$  is exact at  $S^2$ ,  $(a, b) = gX^t$  for some  $g \in A$ . Now  $0 = gX^t E - (c, d)X = (gq(E)^t - (c, d))X$ . Since  $S^\bullet$  is exact at  $S^1$ ,  $gq(E)^t - (c, d) = (h, l)M$  for some  $(h, l) \in A^2$ , or  $(c, d) = gq(E)^t - (h, l)M$  and so  $(a, b, c, d) = (-h, -l, g)\bar{\gamma}$ . Thus  $\pi(P^\bullet)$  is exact at  $\pi(P^2)$ .

Step Three: We now show by induction that  $P^\bullet$  is exact and  $z$  is regular. By Lemma 3.5  $P_n^\bullet$  is exact at  $P_n^0$  and  $P_n^1 \forall n$ , so  $P_n^\bullet$  is exact for  $n \leq 1$  since  $P_n^i = 0$  if  $i \geq 2$  and  $n \leq 1$ . Also,  $z$  is clearly  $m$ -regular for all  $m \leq 0$ . Since  $\alpha \geq 1$  we have established our base case that  $P_{2-\alpha}^\bullet$  is exact and that  $z$  is  $m$ -regular for all  $m < 2 - \alpha$ . Now assume inductively that  $P_n^\bullet$  is exact and that  $z$  is  $m$ -regular  $\forall m < n$ . We will show that  $P_{n+\alpha}^\bullet$  is exact and  $z$  is  $n$ -regular.

Recall that  $(\mu_1, \mu_2, \mu_3) = (a, b, \alpha)$ ,  $g = \alpha + 2\mu_1 + 2\mu_2$  and  $(\delta_1, \delta_2, \delta_3, \delta_4) = (\mu_1 + 2\mu_2, 2\mu_1 + \mu_2, \alpha + \mu_1, \alpha + \mu_2)$ . We have this canonical commutative diagram:

$$\begin{array}{ccccccc} & P^4 & & P^3 & & P^2 & & P^1 \\ 0 \rightarrow & D[-g] & \xrightarrow{\Omega} & \bigoplus_{i=1}^3 D[\mu_i - g] & \xrightarrow{\gamma} & \bigoplus_{i=1}^4 D[-\delta_i] & \xrightarrow{\phi} & \bigoplus_{i=1}^3 D[-\mu_i] \\ & \downarrow & & \downarrow & & \downarrow & & \downarrow \\ & \pi(P^4) & \xrightarrow{\bar{\Omega}} & \pi(P^3) & \xrightarrow{\bar{\gamma}} & \pi(P^2) & \xrightarrow{\bar{\phi}} & \pi(P^1) \end{array}$$

- (i) The complex  $P_{n+\alpha}^\bullet$  is exact at  $P_{n+\alpha}^2$ : Let  $u$  be in  $P_{n+\alpha}^2$  such that  $u\phi = 0$ . Then  $\pi(u)\bar{\phi} = 0$  and  $\pi(P^\bullet)$  is exact at  $\pi(P^2)$  by step two above, so there exists  $\bar{v} \in \pi(P_{n+\alpha}^3)$  with  $\bar{v}\bar{\gamma} = \pi(u)$ . Let  $v$  be in  $P_{n+\alpha}^3$  with  $\pi(v) = \bar{v}$ . Then  $\pi(u - v\gamma) = 0$ , so  $u = v\gamma + zw$  for some  $w \in P_n^2$ . Now  $0 = u\phi = (v\gamma + zw)\phi = z(w\phi)$ , but  $w\phi \in P_n^1 = D_{n-\mu_1} \oplus D_{n-\mu_2} \oplus D_{n-\alpha}$  and  $z$  is  $m$ -regular for  $m < n$ , so  $w\phi = 0$ . By our inductive hypothesis  $P_n^\bullet$  is exact, so there exists  $w' \in P_n^3$  such that  $w'\gamma = w$ , and so  $u = (v + zw')\gamma$ .
- (ii) The complex  $P_{n+\alpha}^\bullet$  is exact at  $P_{n+\alpha}^3$ : Let  $u$  be in  $P_{n+\alpha}^3$  such that  $u\gamma = 0$ . Then  $\pi(u)\bar{\gamma} = 0$  and  $\pi(P^\bullet)$  is exact at  $\pi(P^3)$ , so there exists  $\bar{v} \in \pi(P_{n+\alpha}^4)$  with  $\bar{v}\bar{\Omega} = \pi(u)$ . Let  $v$  be in  $P_{n+\alpha}^4$  with  $\pi(v) = \bar{v}$ . Then  $\pi(u - v\Omega) = 0$ , so  $u = v\Omega + zw$  for some  $w \in P_n^3$ . Now  $0 = u\gamma = (v\Omega + zw)\gamma = z(w\gamma)$ , but  $w\gamma \in P_n^2 = D_{n-2\mu_2-2\mu_1} \oplus D_{n-\mu_2-2\mu_1} \oplus D_{n-\alpha-\mu_1} \oplus D_{n-\alpha-\mu_2}$  and  $z$  is  $m$ -regular for  $m < n$ , so  $w\gamma = 0$ . By hypothesis  $P_n^\bullet$  is exact, so there exists  $w' \in P_n^4$  such that  $w'\Omega = w$ , and so  $u = (v + zw')\Omega$ .
- (iii) The complex  $P_{n+\alpha}^\bullet$  is exact at  $P_{n+\alpha}^4$ : Let  $u$  be in  $P_{n+\alpha}^4$  such that  $u\Omega = (ux_1, ux_2, uz) = (0, 0, 0)$ . Since  $P_{n+\alpha}^4 = D_{n-2\mu_2-2\mu_1}$  and  $z$  is  $(n - 2\mu_2 - 2\mu_1)$ -regular, we have  $u = 0$ .

Therefore  $P_{n+\alpha}^\bullet$  is exact. Since  $z$  is  $m$ -regular  $\forall m < n$ ,  $z$  is  $n$ -regular by Lemma 3.3, and so by induction  $z$  is regular and  $P^\bullet$  is exact. This implies that  $\text{gldim}(D) = 4$  and that  $D$  is Gorenstein. By proposition 3.6  $\text{GKdim}(D) = 4$ , and so  $D$  is AS regular.  $\square$

#### 4. EXTENSIONS OF ALGEBRAS WITH THREE GENERATORS

Throughout this section  $A$  is of type  $(a, b, c)$ ,  $X = (x_1, x_2, x_3)^t$  and  $\mu_4 = \alpha$ . Let  $\delta_4 = a + \alpha$ ,  $\delta_5 = b + \alpha$  and  $\delta_6 = c + \alpha$ , so that  $\delta_4, \delta_5$  and  $\delta_6$  are the degrees of the three commutation relations which make  $z$  central. Let  $l = \alpha + a + b + c$ . Let  $P^\bullet$  be an augmented sequence of graded, projective left  $D$  modules of the form:

$$0 \rightarrow D[-l] \xrightarrow{\Omega} \bigoplus_{i=1}^4 D[\mu_i - l] \xrightarrow{\gamma} \bigoplus_{i=1}^6 D[-\delta_i] \xrightarrow{\phi} \bigoplus_{i=1}^4 D[-\mu_i] \xrightarrow{\Omega^t} D \xrightarrow{\epsilon} k$$

with the usual graded augmentation map  $\epsilon$  and matrices  $\phi = \begin{pmatrix} M & E \\ z & 0 & 0 & \\ 0 & z & 0 & -X \\ 0 & 0 & z & \end{pmatrix}$ ,

$\gamma = \begin{pmatrix} -z & 0 & 0 & \\ 0 & -z & 0 & M \\ 0 & 0 & -z & \\ & X^t & & q(E)^t \end{pmatrix}$ , and  $\Omega = (x_1, x_2, x_3, z)$ . The degree  $n$  part of this sequence will be denoted by  $P_n^\bullet$ .

Let  $\pi(P^\bullet)$  be the sequence of  $D$  modules:

$$\begin{array}{ccccccc} \pi(P^4) & & \pi(P^3) & & \pi(P^2) & & \pi(P^1) & & \pi(P^0) \\ A[-l] & \xrightarrow{\bar{\Omega}} & \bigoplus_{i=1}^4 A[\mu_i - l] & \xrightarrow{\bar{\gamma}} & \bigoplus_{i=1}^6 A[-\delta_i] & \xrightarrow{\bar{\phi}} & \bigoplus_{i=1}^4 A[-\mu_i] & \xrightarrow{\bar{\Omega}^t} & A \end{array}$$

with matrices  $\bar{\Omega} = (x_1, x_2, x_3, 0)$ ,  $\bar{\gamma} = \begin{pmatrix} 0 & 0 & 0 & \\ 0 & 0 & 0 & M \\ 0 & 0 & 0 & \\ & X^t & & q(E)^t \end{pmatrix}$ , and  $\bar{\phi} =$

$$\begin{pmatrix} M & E \\ 0 & 0 & 0 & \\ 0 & 0 & 0 & -X \\ 0 & 0 & 0 & \end{pmatrix}. \text{ Notice } \bar{\phi} = \pi(\phi), \bar{\Omega} = \pi(\Omega) \text{ and } \bar{\gamma} = \pi(\gamma).$$

#### 4.1. Preliminary Lemmas.

**Remark 4.1.** If  $\alpha = \deg(z) > b + c$  then  $D$  is the polynomial extension  $A[z]$  and so is always AS regular. Therefore we consider elements  $z$  of degree  $\alpha \leq b + c$ .

Notice that remark 3.2 and Lemma 3.5 apply to algebras of type  $(a, b, c)$  as well as to those of type  $(a, b)$ .

The following Proposition ensures that if  $D$  is an AS regular algebra then we can write  $D = T/\langle r_1, \dots, r_6 \rangle$  where  $(r_1, r_2, r_3)^t = F + Ez$ ,  $r_4 = zx_1 - x_1z$ ,  $r_5 = zx_2 - x_2z$  and  $r_6 = zx_3 - x_3z$ .

**Proposition 4.2.** *Let  $\deg(x_i) = \mu_i$  and  $\deg(z) = \alpha$ . Suppose  $D = T/I$  is AS regular of global dimension 4 with  $I = \langle r_1, \dots, r_{m-2} = zx_1 - x_1z, r_{m-1} = zx_2 - x_2z, r_m = zx_3 - x_3z \rangle$ , such that  $r_i \equiv f_i \pmod{z}$  where  $f_1, f_2$  and  $f_3$  are the three relations in  $A$ . Then there exists a choice of the relations  $r_i$  so that  $m=6$ .*

**Proof.** We first consider that case that  $z$  is not a superfluous generator. A minimal projective resolution of the trivial left  $D$  module  $k$  must have the form:

$$0 \longrightarrow D^{n_2} \longrightarrow D^{n_1} \longrightarrow D^m \longrightarrow D^4 \longrightarrow D \twoheadrightarrow k$$

It follows from the Gorenstein condition that the minimal resolution of  $k$  must be 'symmetric' so that  $n_1 = 4$  and  $n_2 = 1$ . Now let  $g$  be a positive integer so that the following is a minimal graded projective resolution of the trivial left  $D$  module  $k$ :

$$0 \longrightarrow D[-g] \longrightarrow \bigoplus_{i=1}^4 D[\mu_i - g] \longrightarrow \bigoplus_{i=1}^m D[-\delta_i] \longrightarrow \bigoplus_{i=1}^4 D[-\mu_i] \longrightarrow D \twoheadrightarrow k$$

Let  $H_D$  be the Hilbert series of  $D$ . Then  $H_D(t)p(t) = 1$  where  $p(t) = t^g - \sum_{i=1}^4 t^{g-\mu_i} + \sum_{i=1}^m t^{\delta_i} - \sum_{i=1}^4 t^{\mu_i} + 1$ . Since  $D$  is infinite dimensional,  $p(1) = 0$  and so  $m = 6$ . Since  $\text{GKdim}(D) \geq 3$ ,  $p'(1) = 0$  and  $p''(1) = 0$ . Since  $z$  is not superfluous in  $D$  it is clear that  $D$  requires defining relations which are congruent to  $f_1, f_2$  and  $f_3 \pmod{z}$ , and so we may let  $\delta_1 = b + c$ ,  $\delta_2 = a + c$  and  $\delta_3 = a + b$ . Then  $0 = p'(1) = 2a + 2b + 2c + \delta_4 + \delta_5 + \delta_6 - 3g$ . It follows from Gorenstein symmetry that  $\delta_4 = g - b - c$ ,  $\delta_5 = g - a - c$  and  $\delta_6 = g - a - b$ . Therefore  $0 = p''(1) = 2a^2 + 4ab + 2b^2 + 4ac + 4bc + 2c^2 - 2\alpha^2 - 2ag - 2bg - 2cg + 2\alpha g = 2(\alpha - a - b - c)(g - \alpha - a - b - c)$ . Since  $\alpha < b + c$  we conclude that  $g = a + b + c + \alpha$ ,  $\delta_4 = \alpha + a$ ,  $\delta_5 = \alpha + b$  and  $\delta_6 = \alpha + c$ .

Once we know the degrees of the six relations we may pick  $(r_1, r_2, r_3)^t = F + Ez$ ,  $r_4 = x_1z - zx_1$ ,  $r_5 = x_2z - zx_2$  and  $r_6 = x_3z - zx_3$  as generators for  $I$ .

Now suppose that  $z$  is a superfluous generator, which means  $\alpha \in \{a + b, b + c, a + c\}$ . In this case a minimal projective resolution of the trivial left  $D$  module  $k$  must have the form:

$$0 \longrightarrow D[-g] \longrightarrow \bigoplus_{i=1}^3 D[\mu_i - g] \longrightarrow \bigoplus_{i=1}^m D[-\delta_i] \longrightarrow \bigoplus_{i=1}^3 D[-\mu_i] \longrightarrow D \twoheadrightarrow k$$

Here the Hilbert series for  $D$  is given by  $1/p(t)$  where  $p(t) = t^g - \sum_{i=1}^3 t^{g-\mu_i} + \sum_{i=1}^m t^{\delta_i} - \sum_{i=1}^3 t^{\mu_i} + 1$ . Again  $p(1) = 0$ , and so  $m = 4$ . If  $\alpha = a + b$ ,  $D$  must have relations of degree  $a + c$  and  $b + c$ , and the Gorenstein condition together with  $p'(1) = p''(1) = 0$  imply  $\delta_3 = \alpha + a$ ,  $\delta_4 = \alpha + b$  and  $g = a + b + c + \alpha$ . In this

case  $I$  is generated by  $r_1, r_2, r_4$ , and  $r_5$ . The cases that  $\alpha = a + c$  and  $\alpha = b + c$  are symmetrical.

Whether or not  $z$  is a superfluous generator, the set  $\{r_1, \dots, r_6\}$  will generate  $I$ , and we may write  $D = T/\langle r_1, \dots, r_6 \rangle$ .  $\square$

Now we show that if  $P^\bullet$  is a resolution of  $k$ , then  $\text{GKdim}(D)=4$ .

**Proposition 4.3.** *Let  $g = \alpha + a + b + c$  and  $D = \tilde{T}/\langle r_1, r_2, r_3 \rangle$  and suppose  $Dk$  has a projective resolution of the form:*

$$0 \rightarrow D[-g] \xrightarrow{\Omega} \bigoplus_{i=1}^4 D[\mu_i - g] \xrightarrow{\gamma} \bigoplus_{i=1}^6 D[-\delta_i] \xrightarrow{\phi} \bigoplus_{i=1}^4 D[-\mu_i] \xrightarrow{\Omega^t} D \xrightarrow{\epsilon} k$$

Then  $\text{GKdim}(D) = 4$ .

**Proof.** The resolution tells us that  $H_D(t) = [(t^a - 1)(t^b - 1)(t^c - 1)(t^\alpha - 1)]^{-1}$  is the Hilbert series for  $D$  and hence  $\text{GKdim}(D) = 4$ .  $\square$

**Lemma 4.4.** *If  $P_{n+\alpha}^\bullet$  is exact and  $z$  is  $m$ -regular  $\forall m < n$ , then  $z$  is  $n$ -regular.*

**Proof.** By exactness:  $d_{n+\alpha} = d_n + \sum_{i=1}^3 d_{n+\alpha-\mu_i} - \sum_{i=1}^3 d_{n+\alpha-\delta_i} - \sum_{i=4}^6 d_{n+\alpha-\delta_i} + \sum_{i=1}^3 d_{n-a-b-c+\mu_i} + d_{n+\alpha-a-b-c} - d_{n-a-b-c} + \delta_0^{n+\alpha}$ . By 3.2 applied to  $d_{n+\alpha-\mu_i}$ ,  $d_{n+\alpha-\delta_i}$  and  $d_{n+\alpha-a-b-c}$  and by 2.3 we get

$$\begin{aligned} d_{n+\alpha} &= d_n + \sum_{i=1}^3 (d_{n-\mu_i} + a_{n+\alpha-\mu_i}) - \sum_{i=1}^3 (d_{n-\delta_i} + a_{n+\alpha-\delta_i}) - \sum_{i=4}^6 d_{n+\alpha-\delta_i} + \\ &\quad \sum_{i=1}^3 d_{n-a-b-c+\mu_i} + d_{n-a-b-c} + a_{n+\alpha-a-b-c} - d_{n-a-b-c} + \delta_0^{n+\alpha} \\ &= d_n + \sum_{i=1}^3 a_{n+\alpha-\mu_i} - \sum_{i=1}^3 a_{n+\alpha-\delta_i} + a_{n+\alpha-a-b-c} + \delta_0^{n+\alpha} = d_n + a_{n+\alpha} \end{aligned}$$

as required.  $\square$

## 4.2. Extensions.

**Theorem 4.5.** *Let  $A = D/\langle z \rangle$  be a global dimension 3 AS regular algebra of type  $(a, b, c)$  defined by relations  $F = MX$  where  $(X^t M)^t = q(F)$ . Let  $z \in D_\alpha$  be central. Write  $D = \tilde{T}/\langle r_1, r_2, r_3 \rangle$  where  $(r_1, r_2, r_3)^t = F + Ez$  for  $E$  in  $\tilde{T}^3$ . The following are equivalent:*

- (1)  $D$  is an Artin-Schelter regular algebra (of global dimension 4).

- (2)  $z$  is a regular element of  $D$ .
- (3)  $z$  is an  $(a + b + c - \alpha)$ -regular element of  $D$ .
- (4)  $X^t E = q(E)^t X$  in  $D$ .

**Remark 4.6.** Note that if  $\alpha > b + c$  then  $E = 0$  and  $D$  is a polynomial extension. If  $\alpha > c$  then the equality in part (4) holds not only in  $D$  but also in  $\tilde{T}$ . Unless  $z$  is a superfluous generator,  $D$  is a *quantum polynomial ring in 4 variables* as defined in [12], section 3. If  $\alpha \notin \{a + b, b + c, a + c\}$  then  $z$  is certainly not superfluous.

**Proof** of Theorem 4.5.

(1)  $\Rightarrow$  (2) and (3)  $\Rightarrow$  (4) are the same as in the proof of Theorem 3.7, only here  $X^t E \in D_{a+b+c-\alpha}$ . (4)  $\Rightarrow$  (1) follows the same three steps as in the proof of Theorem 3.7. In the third step we apply induction to this commutative diagram:

$$\begin{array}{ccccccc}
& P^4 & & P^3 & & P^2 & & P^1 \\
0 \rightarrow & D[-g] & \xrightarrow{\Omega} & \bigoplus_{i=1}^4 D[\mu_i - g] & \xrightarrow{\gamma} & \bigoplus_{i=1}^6 D[-\delta_i] & \xrightarrow{\phi} & \bigoplus_{i=1}^4 D[-\mu_i] \\
& \downarrow & & \downarrow & & \downarrow & & \downarrow \\
& \pi(P^4) & \xrightarrow{\bar{\Omega}} & \pi(P^3) & \xrightarrow{\bar{\gamma}} & \pi(P^2) & \xrightarrow{\bar{\phi}} & \pi(P^1)
\end{array}$$

Recall that  $(\mu_1, \mu_2, \mu_3, \mu_3) = (a, b, c, \alpha)$ ,  $g = \alpha + \mu_1 + \mu_2 + \mu_3$ , and  $(\delta_1, \delta_2, \delta_3, \delta_4, \delta_5, \delta_6) = (b + c, a + c, a + b, \alpha + a, \alpha + b, \alpha + c)$ . By Lemma 3.5  $P_n^*$  is exact at  $P_n^0$  and  $P_n^1 \ \forall n$ , so  $P_n^*$  is exact for  $n \leq 1$  since  $P_n^i = 0$  if  $i \geq 2$  and  $n \leq 1$ . Also,  $z$  is clearly  $m$ -regular for all  $m \leq 0$ . Since  $\alpha \geq 1$  we have established our base case that  $P_{2-\alpha}^*$  is exact and that  $z$  is  $m$ -regular for all  $m < 2 - \alpha$ . Now assume inductively that  $P_n^*$  is exact and that  $z$  is  $m$ -regular  $\forall m < n$ . As before, we conclude that  $P_{n+\alpha}^*$  is exact, and since  $z$  is  $m$ -regular for all  $m < n$ ,  $z$  is  $n$ -regular by Lemma 4.4. Therefore by induction  $z$  is regular and  $P^*$  is exact. This implies that  $\text{gldim}(D) = 4$  and that  $D$  is Gorenstein. By proposition 4.3  $\text{GKdim}(D) = 4$ , and so  $D$  is AS regular.  $\Lambda$

## 5. EXAMPLES

**Example 5.1.** We now return to the algebra  $A(+)$  discussed in section 2. Here we consider two seemingly similar central extensions, although in fact only one is AS regular.

Let  $z$  have degree 4 and define the algebras  $D$  and  $D'$  as follows:

$$D = \frac{k\langle x, y, z \rangle}{\langle xz - zx, yz - zy, f_1 + 2xz, f_2 + (1-i)z \rangle}$$

$$D' = \frac{k\langle x, y, z \rangle}{\langle xz - zx, yz - zy, f_1 - xz, f_2 + (1-i)z \rangle}$$

Notice that both  $D$  and  $D'$  are central extensions of  $A$ . We have  $E = \begin{pmatrix} 2x \\ 1-i \end{pmatrix}$ , and  $E' = \begin{pmatrix} -x \\ 1-i \end{pmatrix}$ . Recall that the function  $q$  is given by

$$q \begin{pmatrix} u \\ v \end{pmatrix} = \begin{pmatrix} iu + 2vx \\ v \end{pmatrix}.$$

Since  $q(E)^t X = 2x^2 + y - iy = X^t E$ , part (4) of Theorem 3.7 tells us that  $D$  is AS regular and  $z$  is not a zero divisor in  $D$ . In contrast,  $q(E')^t X = 2x^2 - 3ix^2 + y - iy$  and  $X^t E' = y - iy - x^2$  so that  $D'$  is not AS regular and  $z$  is not even 2-regular in  $D'$ . In fact,  $z$  annihilates  $x^2$  since in  $\tilde{T}$ ,

$$6zx^2 = (i-1)r_1x - (i+1)xr_1 + 2(1+i)r_2x^2 + (i+1)r_2y - (i+1)yr_2$$

and so  $zx^2 = 0$  in  $D'$ .

**Example 5.2.** Here we consider the family of quantum polynomial rings discussed in [12], example 6.3. The algebras are generated by elements  $x$ ,  $y$  and  $w$  of degrees 1,1, and 2 respectively, with the defining relations:

$$f_1 = wy - iyw - t_0y^3 - t_1xy^2 - t_2x^2y - x^3$$

$$f_2 = wx + ixw + iy^3 + t_0xy^2 - it_1x^2y - t_2x^3$$

$$f_3 = yx - ixy,$$

where  $i$  is a square root of  $-1$  and  $t_i \in k$ . Choose a new basis  $F'$  for the defining ideal by taking  $f'_1 = f_1 + t_2(f_3x - xf_3) + it_2(f_3x + xf_3)$ ,  $f'_2 = t_1(f_3x + ix f_3) - t_0y f_3 - i f_2$  and  $f'_3 = f_3$ . Our new relations are:

$$f'_1 = wy - iyw - t_0y^3 - t_1xy^2 - x^3 + t_2(iyx^2 + ix^2y + yx^2)$$

$$f'_2 = xw - iwx + y^3 + t_2ix^3 + t_1yx^2 + t_0(iyxy - ixy^2 - y^2x)$$

Letting  $X = (x, y, w)^t$ , we write  $F' = MX$  to find

$$M = \begin{pmatrix} t_2(i+1)yx - x^2 & w - t_0y^2 + t_2ix^2 - t_1xy & -iy \\ t_1yx - t_0y^2 + t_2ix^2 - iw & y^2 + t_0i(yx - xy) & x \\ y & -ix & 0 \end{pmatrix}.$$

We now compare  $MX$  and  $X^tM$  to find  $q$ . Since

$$(X^tM)^t = \begin{pmatrix} t_2(i+1)xyx - x^3 + t_1y^2x - t_0y^3 + t_2iyx^2 - iyw + wy \\ xw - t_0xy^2 + t_2ix^3 - t_1x^2y + y^3 - t_0iyxy + t_0iy^2x - iwx \\ yx - ixy \end{pmatrix}$$

the function  $q$  is given by the formula

$$q \begin{pmatrix} s \\ u \\ v \end{pmatrix} = \begin{pmatrix} s + t_1(ivy + yv) + t_2(xv - vx) \\ u + t_0(1+i)(yv - vy) - t_1(vx + ixv) \\ v \end{pmatrix}.$$

There are many choices for  $E$  so that  $X^tE = q(E)^tX$ . Without placing any restrictions on the scalars  $t_0$ ,  $t_1$  or  $t_2$ , we can construct a family of AS regular central extensions as follows: Let  $\deg(z) = 1$  and let  $g_1(x, z)$  and  $g_2(y, z)$  be any homogeneous polynomials of degree 2. Set

$$r_1 = f'_1 + (g_1(x, z) + t_3y^2 + t_4yz + t_5xy + (t_5i + t_6 - t_6i)yx)z$$

$$r_2 = f'_2 + (g_2(y, z) + t_6x^2 + t_4xz + t_7xy + (t_7i + t_3 - t_3i)yx)z$$

where  $t_i \in k$ . Now we define the algebras  $D$  as

$$D = \frac{k\langle x, y, w, z \rangle}{\langle xz - zx, yz - zy, wz - zw, r_1, r_2, f_3 \rangle}$$

Notice that  $D$  is a central extension of our original algebra. In this case

$$E = \begin{pmatrix} g_1(x, z) + t_3y^2 + t_4yz + t_5xy + (t_5i + t_6(1-i))yx \\ g_2(y, z) + t_6x^2 + t_4xz + t_7xy + (t_7i + t_3(1-i))yx \\ 0 \end{pmatrix}$$

and one can check that in  $D$  the equality  $X^tE = q(E)^tX$  holds. In fact, if  $t_i = 0$  for  $i = 3, 5, 6, 7$  this equality holds in  $\tilde{T}$ . In all cases,  $z$  is a regular element of  $D$  and  $D$  is an AS regular algebra of global dimension 4.

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