COMPLEXITY OF SHORT RECTANGLES AND PERIODICITY

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Abstract. The Morse-Hedlund Theorem states that a bi-infinite sequence \( \eta \) in a finite alphabet is periodic if and only if there exists \( n \in \mathbb{N} \) such that the block complexity function \( P_\eta(n) \) satisfies \( P_\eta(n) \leq n \). In dimension two, Nivat conjectured that if there exist \( n, k \in \mathbb{N} \) such that the \( n \times k \) rectangular complexity \( P_\eta(n, k) \) satisfies \( P_\eta(n, k) \leq nk \), then \( \eta \) is periodic. Sander and Tijdeman showed that this holds for \( k \leq 2 \). We generalize their result, showing that Nivat’s Conjecture holds for \( k \leq 3 \). The method involves translating the combinatorial problem to a question about the nonexpansive subspaces of a certain \( \mathbb{Z}^2 \) dynamical system, and then analyzing the resulting system.

1. Nivat’s Conjecture for patterns of height 3

1.1. Background and statement of the theorem. The Morse-Hedlund Theorem \([7]\) gives a classic relation between the periodicity of a bi-infinite sequence \( \eta \) in a finite alphabet \( \mathcal{A} \) and the complexity of the sequence. For higher dimensional sequences \( \eta = (\eta(\vec{n}) : \vec{n} \in \mathbb{Z}^d) \) with \( d \geq 1 \) taking values in the finite alphabet \( \mathcal{A} \), a possible generalization is the Nivat Conjecture \([8]\). To state this precisely, we define \( \eta: \mathbb{Z}^d \to \mathcal{A} \) to be periodic if there exists \( \vec{m} \in \mathbb{Z}^d \) with \( \vec{m} \neq \vec{0} \) such that \( \eta(\vec{n} + \vec{m}) = \eta(\vec{n}) \) for all \( \vec{n} \in \mathbb{Z}^d \) and define the rectangular complexity \( P_\eta(n, k) \) to be the number of distinct \( n \) by \( k \) rectangular patterns that occur in \( \eta \). Nivat conjectured that for \( d = 2 \), if there exist \( n, k \in \mathbb{N} \) such that \( P_\eta(n, k) \leq nk \), then \( \eta \) is periodic. This is a two dimensional phenomenon, as counterexamples for the corresponding statement in dimension \( d \geq 3 \) were given in \([10]\). There are numerous partial results, including for example \([10, 6, 9]\) (see also related results in \([2, 3, 5]\)). In \([4]\) we showed that under the stronger hypothesis that there exist \( n, k \in \mathbb{N} \) such that \( P_\eta(n, k) \leq nk/2 \), then \( \eta \) is periodic.

We prove that Nivat’s Conjecture holds for rectangular patterns of height at most 3:

**Theorem 1.1.** Suppose \( \eta: \mathbb{Z}^2 \to \mathcal{A} \), where \( \mathcal{A} \) denotes a finite alphabet. Assume that there exists \( n \in \mathbb{N} \) such that \( P_\eta(n, 3) \leq 3n \). Then \( \eta \) is periodic.

If there exists \( n \in \mathbb{N} \) such that \( P_\eta(n, 1) \leq n \), periodicity of \( \eta \) follows quickly from the Morse-Hedlund Theorem \([7]\): each row is horizontally periodic of period at most \( n \) and so \( n! \) is an upper bound for the minimal horizontal period of \( \eta \). When there exists \( n \in \mathbb{N} \) such that \( P_\eta(n, 2) \leq 2n \), periodicity of \( \eta \) was established by Sander and Tijdeman \([11]\). The extension to patterns of height 3 is the main result of this work.

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article. By the obvious symmetry, the analogous result holds if there exists \( n \in \mathbb{N} \) such that \( P_\eta(3, n) \leq 3n \).

1.2. **Generalized complexity functions.** To study rectangular complexity, we need to consider the complexity of more general shapes. As introduced by Sander and Tijdeman [10], if \( S \subset \mathbb{Z}^2 \) is a finite set, we define \( P_\eta(S) \) to be the number of distinct patterns in \( \eta \) that can fill the shape \( S \). For example, \( P_\eta(n, k) = P_\eta(R_{n,k}) \), where \( R_{n,k} \) denotes the \( n \) by \( k \) rectangle. Similar to methods introduced in [4], we find subsets of \( R_{n,3} \) (the generating sets) that can be used to study periodicity. Using the restrictive geometry imposed by patterns of height 3, we derive stronger properties that allow us to prove periodicity only using the complexity bound \( 3n \), rather than \( 3n/2 \) as relied upon in [4].

1.3. **Translation to dynamics.** As in [4], we translate the problem to a dynamical one. We define a dynamical system associated with \( \eta: \mathbb{Z}^2 \to A \) in a standard way: endow \( A \) with the discrete topology, \( X = A^{\mathbb{Z}^2} \) with the product topology, and define the \( \mathbb{Z}^2 \)-action by translations on \( X \) by \( (T^u \eta)(\vec{x}) := \eta(\vec{x} + \vec{u}) \) for \( \vec{u} \in \mathbb{Z}^2 \). With respect to this topology, the maps \( T^u: X \to X \) are continuous. Let \( O(\eta) := \{ T^u \eta: \vec{u} \in \mathbb{Z}^2 \} \) denote the \( \mathbb{Z}^2 \)-orbit of \( \eta \in A^{\mathbb{Z}^2} \) and set \( X_\eta := \overline{O(\eta)} \). When we refer to the dynamical system \( X_\eta \), we implicitly assume that this means the space \( X_\eta \) endowed with the \( \mathbb{Z}^2 \)-action by the translations \( T^u \), where \( \vec{u} \in \mathbb{Z}^2 \). Note that in general \( \overline{O(\eta)} \setminus O(\eta) \) is nonempty.

The dynamical system \( X_\eta \) reflects the properties of \( \eta \). An often used fact is that if \( F \subset \mathbb{Z}^2 \) is finite and \( f \in X_\eta \), then there exists \( \vec{u} \in \mathbb{Z}^2 \) such that \( (T^u \eta)|_{F} = f|_{F} \), where by \( \cdot|_{F} \) we mean the restriction to the region \( F \). So, for example, if \( \eta \) satisfies some complexity bound, such as the existence a finite set \( S \subset \mathbb{Z}^2 \) satisfying \( P_\eta(S) \leq N \) for some \( N \geq 1 \), then every \( f \in X_\eta \) satisfies the same complexity bound. Moreover, if \( \eta \) is periodic with some period vector, then every \( f \in X_\eta \) is also periodic with the same period vector. Similarly, if \( \vec{u} \in \mathbb{Z}^2 \) and \( F \subset \mathbb{Z}^2 \), there is a natural correspondence between a coloring of the form \( (T^{-\vec{u}} f)|_{F} \) and a coloring \( f|_{F} + \vec{u} \).

Characterizing periodicity of \( \eta \in A^{\mathbb{Z}^2} \) amounts to studying properties of its orbit closure \( X_\eta \). In particular, note that \( \eta \) is doubly periodic if and only if it has two non-commensurate period vectors, or equivalently \( X_\eta \) is finite.

1.4. **Expansive directions.** Restricting a more general definition given by Boyle and Lind [1] to a dynamical system \( X \) with a continuous \( \mathbb{Z}^2 \)-action \( (T^u; \vec{u} \in \mathbb{R}^2) \) on \( X \), we say that a line \( \ell \subset \mathbb{R}^2 \) is an expansive line if there exist \( r > 0 \) and \( \delta > 0 \) such that whenever \( f, g \in X \) satisfy \( d(T^u f, T^u g) < \delta \) for all \( \vec{u} \in \mathbb{Z}^2 \) with \( d(\vec{u}, \ell) < r \), then \( f = g \). Any line that is not expansive is called a nonexpansive line.

For the system \( X = A^{\mathbb{Z}^2} \) with the continuous \( \mathbb{Z}^2 \)-action on \( X \) by translation (sometimes called the full \( A \)-shift), it is easy to see that there are no expansive lines. However, more interesting behavior arises when we restrict to \( X_\eta \).

Boyle and Lind [1] proved a general theorem that nonexpansive lines (and, more generally, subspaces) are abundant. In the context of \( X_\eta \) with the continuous \( \mathbb{Z}^2 \)-action on \( X_\eta \) by translation, this theorem implies that for infinite \( X_\eta \), there exists at least one nonexpansive line. Rephrased in our context the Boyle and Lind result becomes:
Theorem 1.2 (Boyle and Lind [1]). For \( \eta: \mathbb{Z}^2 \to A \), \( \eta \) is doubly periodic if and only if there are no nonexpansive lines for the \( \mathbb{Z}^2 \)-action by translation on \( X_\eta \).

In [4], we further characterized the situation with a single nonexpansive line:

Theorem 1.3 (Cyr and Kra [4]). Let \( \eta \in A^{\mathbb{Z}^2} \). If there exists \( R_{n,k} \) such that \( P_\eta(R_{n,k}) \leq nk \) and there is a unique nonexpansive line for the \( \mathbb{Z}^2 \)-action by translation on \( X_\eta \), then \( \eta \) is periodic but not doubly periodic.

Thus Theorem 1.1 follows once we show that there can not be more than a single nonexpansive line, making its proof equivalent to showing:

Theorem 1.4. If \( \eta: \mathbb{Z}^2 \to A \) and there exists \( R_{n,k} \) such that \( P_\eta(R_{n,k}) \leq nk \) for some \( k \leq 3 \), then there is at most one nonexpansive line for the dynamical system \( X_\eta \).

The proof of this result occupies the remainder of the paper.

1.5. Conventions. Throughout the paper, we assume that \( \eta: \mathbb{Z}^2 \to A \), where \( A \) denotes a finite alphabet with \( |A| \geq 2 \) and \( X_\eta = \overline{O(\eta)} \) denotes the associated dynamical system, endowed with the continuous transformations \( T^{\vec{u}} \) for \( \vec{u} \in \mathbb{Z}^2 \).

We do not explicitly mention this hypothesis again. However, each time we make an assumption on the complexity, in particular the existence of \( n \in \mathbb{N} \) such that \( P_\eta(R_{n,3}) \leq 3n \), we make this explicit.

2. Generating and balanced sets

2.1. Generating sets. We review some definitions from [4], adapted to our current problem.

If \( S \subseteq \mathbb{R}^2 \), we denote the convex hull of \( S \) by \( \text{conv}(S) \). We say \( S \subseteq \mathbb{Z}^2 \) is convex if \( S = \text{conv}(S) \cap \mathbb{Z}^2 \) and in this case we set \( \partial S \) to be the boundary of \( \text{conv}(S) \). A boundary edge of \( S \) is an edge of the convex polygon \( \partial S \) and a boundary vertex is a vertex of \( \partial S \). We denote the set of boundary edges by \( E(S) \) and the set of boundary vertices by \( V(S) \). Our convention is that if \( \text{conv}(S) \) has zero area, then \( E(S) = \emptyset \).

If the area of \( \text{conv}(S) \) is positive, we orient the boundary of \( S \) positively. This allows us to refer to a directed line as being parallel to a boundary edge of \( S \). We say two directed lines are antiparallel if they determine the same (undirected) line, but are endowed with opposite orientations.

If \( S \subseteq \mathbb{Z}^2 \), then \( |S| \) denotes the number of elements of \( S \). We define the \( S \)-words of \( \eta \) to be

\[
W_\eta(S) := \{(T^{\vec{u}}\eta)|S: \vec{u} \in \mathbb{Z}^2\}.
\]

Following Sander and Tijdeman [10], we define the \( \eta \)-complexity of a set \( S \subset \mathbb{Z}^2 \) by

\[
P_\eta(S) := |W_\eta(S)|.
\]

As in [4], we define the \( \eta \)-discrepancy function \( D_\eta \) on the set of nonempty, finite subsets of \( \mathbb{Z}^2 \) by

\[
D_\eta(S) := P_\eta(S) - |S|.
\]

For \( W \subseteq \mathbb{Z}^2 \), by an \( \eta \)-coloring of \( W \) we mean \( (T^{\vec{u}}\eta)|W \) for some \( \vec{u} \in \mathbb{Z}^2 \).
**Definition 2.1.** If $\mathcal{S}_1 \subset \mathcal{S}_2 \subset \mathbb{Z}^2$ are sets and $\alpha \in X_{\eta}$, we say that $\alpha|_{\mathcal{S}_1}$ extends uniquely to an $\eta$-coloring of $\mathcal{S}_2$ if for all $\beta \in X_{\eta}$ such that $\alpha|_{\mathcal{S}_1} = \beta|_{\mathcal{S}_1}$, we have that $\alpha|_{\mathcal{S}_2} = \beta|_{\mathcal{S}_2}$. Otherwise, we say that the coloring $\alpha|_{\mathcal{S}_1}$ extends non-uniquely to an $\eta$-coloring of $\mathcal{S}_2$.

**Definition 2.2.** If $\mathcal{S} \subset \mathbb{Z}^2$ is a finite set, then $x \in \mathcal{S}$ is $\eta$-generated by $\mathcal{S}$ if every $\eta$-coloring of $\mathcal{S} \setminus \{x\}$ extends uniquely to an $\eta$-coloring of $\mathcal{S}$. A nonempty, finite, convex subset of $\mathbb{Z}^2$ for which every boundary vertex is $\eta$-generated is called an $\eta$-generating set.

We note that if $\mathcal{S}$ is an $\eta$-generating set and $\vec{v} \in \mathbb{Z}^2$, then $\mathcal{S} + \vec{v}$ is also an $\eta$-generating set. Similarly if $\mathcal{S}$ is an $\eta$-generating set and $\alpha \in X_{\eta}$, then $\mathcal{S}$ is also an $\alpha$-generating set.

**Lemma 2.3.** Suppose $\mathcal{S} \subset \mathbb{Z}^2$ is finite and convex, and $x \in V(\mathcal{S})$. If $x$ is $\eta$-generated by $\mathcal{S}$, then $D_\eta(\mathcal{S} \setminus \{x\}) = D_\eta(\mathcal{S}) + 1$. If $x$ is not $\eta$-generated by $\mathcal{S}$, then $D_\eta(\mathcal{S} \setminus \{x\}) \leq D_\eta(\mathcal{S})$.

**Proof.** If $x$ is $\eta$-generated by $\mathcal{S}$, then $P_\eta(\mathcal{S} \setminus \{x\}) = P_\eta(\mathcal{S})$. Then

$$D_\eta(\mathcal{S} \setminus \{x\}) = P_\eta(\mathcal{S} \setminus \{x\}) - |\mathcal{S}| + 1 = P_\eta(\mathcal{S}) - |\mathcal{S}| + 1 = D_\eta(\mathcal{S}) + 1.$$ 

If $x$ is not $\eta$-generated by $\mathcal{S}$, then $P_\eta(\mathcal{S} \setminus \{x\}) < P_\eta(\mathcal{S})$. Thus

$$D_\eta(\mathcal{S} \setminus \{x\}) = P_\eta(\mathcal{S} \setminus \{x\}) - |\mathcal{S}| + 1 < P_\eta(\mathcal{S}) - |\mathcal{S}| + 1 = D_\eta(\mathcal{S}) + 1.$$ 

Since $D_\eta(\mathcal{S} \setminus \{x\})$ and $D_\eta(\mathcal{S})$ are both integers, $D_\eta(\mathcal{S} \setminus \{x\}) \leq D_\eta(\mathcal{S})$. □

**Corollary 2.4.** Suppose $\mathcal{S} \subset \mathbb{Z}^2$ is finite and convex and $p_1, \ldots, p_j \in \mathcal{S}$ are points such that for all $1 \leq i \leq j$, we have $\mathcal{S} \setminus \{p_1, p_2, \ldots, p_i\}$ is convex. Then $D_\eta(\mathcal{S} \setminus \{p_1, \ldots, p_j\}) \leq D_\eta(\mathcal{S}) + j$.

2.2. **Nonexpansivity.** We reformulate the definition of expansive, and more importantly nonexpansive, in the context of a particular configuration $\eta$. While this is a priori weaker than Boyle and Lind’s definition of expansiveness introduced in Section 1.4, it is easy to check that they are equivalent in the symbolic setting:

**Definition 2.5.** A line $\ell \subset \mathbb{R}^2$ is a nonexpansive line for $\eta$ (or just a nonexpansive line when $\eta$ is clear from the context) if for all $r \in \mathbb{R}$, there exist $f_r, g_r \in X_{\eta}$ such that $f_r \neq g_r$, but

$$f_r(\vec{u}) = g_r(\vec{u})$$ 

for all $\vec{u} \in \mathbb{Z}^2$ such that $d(\vec{u}, \ell) < r$.

We say that $\ell$ is an expansive line for $\eta$ (or just an expansive line) if it is not a nonexpansive line.

If $\ell$ is a directed line, let $H(\ell) \subset \mathbb{R}^2$ be the half-plane whose (positively oriented) boundary passes through the origin and is parallel to $\ell$. We say that a directed line $\ell$ is a nonexpansive direction for $\eta$ (or just a nonexpansive direction when $\eta$ is clear from the context) if there exist $f, g \in X_{\eta}$ such that $f \neq g$ but $f|_{H(\ell)} = g|_{H(\ell)}$. We say $\ell$ is an expansive direction for $\eta$ (or just an expansive direction) if it is not a nonexpansive direction for $\eta$.

**Remark 2.6.** Notice that the set of expansive lines (similarly expansive directions, nonexpansive lines, and nonexpansive directions) is invariant under translations in $\mathbb{R}^2$. 
We summarize properties of generating sets proved in [H] that we use here (for completeness we include proofs):

**Proposition 2.7** ([H], Lemmas 2.3 and 3.3). Suppose there exists $n \in \mathbb{N}$ such that $P_{n}(R_{n, 3}) \leq 3n$. Then there exists an $\eta$-generating set $S \subseteq R_{n, 3}$ and

1. if $S' \subset S$ is nonempty and convex then $D_{\eta}(S') \geq D_{\eta}(S) + 1$.

Moreover, for any nonexpansive direction $\ell$, there is a boundary edge $w_{\ell} \in E(S)$ that is parallel to $\ell$.

**Proof.** By assumption, $D_{\eta}(R_{n, 3}) \leq 0$. Let $S \subseteq R_{n, 3}$ be a convex set which is minimal (with respect to the partial ordering by inclusion) among all convex subsets of $R_{n, 3}$ whose discrepancy is nonpositive. Since $|A| \geq 2$, the discrepancy of a set with a single element is $|A| - 1 > 0$, and so $S$ contains at least two elements. In particular for any $x \in V(S)$, the set $S \setminus \{x\}$ is nonempty and convex. If $x \in V(S)$ is not $\eta$-generated by $S$, then $D_{\eta}(S \setminus \{x\}) \leq D_{\eta}(S)$ by Lemma 2.3. Therefore, by minimality of $S$, if $x \in V(S)$ then $x$ is $\eta$-generated by $S$. This establishes that $S$ is an $\eta$-generating set. Claim (1) follows from the minimality of $S$.

Finally, suppose $\ell$ is a directed line that is not parallel to any of the edges of $S$. Without loss of generality, we can assume that $\ell$ points either southwest or south. We claim that $\ell$ is expansive for $\eta$, thereby establishing the second part of the proposition.

Suppose this does not hold. Let $H \subset \mathbb{R}^{2}$ be a half-plane whose (positively oriented) boundary edge is parallel to $\ell$. Let $\ell_{0}$ be the translation of $\ell$ that passes through $(0, 0)$ and for all $x \in \mathbb{R}$, set $\ell_{x} := \ell_{0} + (x, 0)$. Since $\ell$ is nonexpansive for $\eta$, there exist $f, g \in X_{\eta}$ such that $f \neq g$ but $f|_{H} = g|_{H}$. Let $A := \{\bar{u} \in \mathbb{Z}^{2} : f(\bar{u}) \neq g(\bar{u})\}$ and set $x_{\max} := \sup\{x \in \mathbb{R} : \ell_{x} \cap A \neq \emptyset\}$.

Since $f|_{H} = g|_{H}$ and $\ell$ points southwest or south, we have that $x_{\max} < \infty$. Since $\ell$ is not parallel to any of the edges of $S$, there is a vertex $x_{\ell} \in V(S)$ and a half-plane whose boundary is parallel to $\ell$ such that $S \setminus \{x_{\ell}\}$ is contained in this half-plane but $x_{\ell}$ is not. If $\ell_{x_{\max}} \cap A \neq \emptyset$, let $\bar{u}_{\max} \in \ell_{x_{\max}} \cap A$. There is a translation of $S$ that takes $x_{\ell}$ to $u_{\max}$ and $S \setminus \{x_{\ell}\}$ is translated to the region on which $f$ and $g$ coincide. But this is a contradiction of the fact that $S$ is $\eta$-generating, as $x_{\ell}$ is $\eta$-generated by $S$. If instead $\ell_{x_{\max}} \cap A = \emptyset$ let $d$ be the distance from $x_{\ell}$ to the half-plane separating $x_{\ell}$ from $S \setminus \{x_{\ell}\}$. Let $\bar{u} \in A$ be a point such that $d(\bar{u}, \ell_{x_{\max}}) < d/2$. Then there is again a translation of $S$ taking $x_{\ell}$ to $\bar{u}$ and $S \setminus \{x_{\ell}\}$ is translated to the region on which $f$ and $g$ coincide. Once again, this is a contradiction of $x_{\ell}$ being $\eta$-generated. Thus $\ell$ is an expansive direction for $\eta$, completing the proof.

**Corollary 2.8.** Suppose there exists $n \in \mathbb{N}$ such that $P_{n}(R_{n, 3}) \leq 3n$ and $S$ is the $\eta$-generating set constructed in Proposition 2.7. Then for any $w \in E(S)$, we have $D_{\eta}(S \setminus w) \geq D_{\eta}(S) + 1$.

**Proof.** If $E(S) \neq \emptyset$, then conv$(S)$ has positive area (recall our convention that if conv$(S)$ has zero area then the edge set is empty), and so by (1) we are done.

**Corollary 2.9.** Suppose there exists $n \in \mathbb{N}$ such that $P_{n}(R_{n, 3}) \leq 3n$. If $\ell$ is a nonexpansive direction for $\eta$, then there is a translation of $\ell$ that intersects $R_{n, 3}$ in at least two places. In particular, if $\ell$ has irrational slope, then $\ell$ is an expansive direction for $\eta$. 

Suppose there exists an $\eta$-generating set $S \subseteq R_{n,3}$ and for any nonexpansive direction $\ell$, there is an edge $w_\ell \in E(S)$ parallel to $\ell$. The two endpoints of $w_\ell$ are both boundary vertices of $S$, and so in particular are integer points in $R_{n,3}$.

**Proof.** By Proposition 2.7, there exists an $\eta$-generating set $S \subseteq R_{n,3}$ and for any nonexpansive direction $\ell$, there is an edge $w_\ell \in E(S)$ parallel to $\ell$. The two endpoints of $w_\ell$ are both boundary vertices of $S$, and so in particular are integer points in $R_{n,3}$.

**Proposition 2.10.** Suppose there exists $n \in \mathbb{N}$ such that $P_\eta(R_{n,3}) \leq 3n$. If $\ell$ is a nonexpansive line for $\eta$, then at least one of the orientations on $\ell$ determines a nonexpansive direction for $\eta$. If $\ell$ is an expansive line for $\eta$, then both orientations on $\ell$ determine expansive directions for $\eta$.

**Proof.** If $\ell$ is a nonexpansive line, then for all $r > 0$ there exist $f_r, g_r \in X_\eta$ such that $f_r(\vec{x}) = g_r(\vec{x})$ whenever $d(\vec{x}, \ell) < r$ but $f_r \neq g_r$. By Corollary 2.9, $\ell$ is a rational line. By Remark 2.6, there is no loss of generality in assuming that $\ell$ passes through the origin. Choose $A \in SL_2(\mathbb{Z})$ such that $A(\ell)$ points vertically downward. Let $\tilde{\eta} := \eta \circ A^{-1}$, $\tilde{f}_r := f_r \circ A^{-1}$, and $\tilde{g}_r := g_r \circ A^{-1}$. For each integer $r > 0$, choose $\vec{x}_r = (x_r, y_r)$ such that $\tilde{f}_r(\vec{x}_r) \neq \tilde{g}_r(\vec{x}_r)$ and such that $|x_r|$ is minimal among all integer points where $\tilde{f}_r$ and $\tilde{g}_r$ differ. By the pigeonhole principle, either $x_r > 0$ infinitely often or $x_r < 0$ infinitely often. Without loss say $x_r < 0$ infinitely often and pass to a subsequence $r_1 < r_2 < \ldots$ such that $x_{r_i} < 0$ for all $i = 1, 2, \ldots$. Then $(T^{(x_r, y_r)} \tilde{f}_r)(x, y) = (T^{(x_r, y_r)} \tilde{g}_r)(x, y)$ for all $(x, y) \in \mathbb{Z}^2$ such that $0 < x \leq r_i$, but $(T^{(x_r, y_r)} \tilde{f}_r)(0, 0) \neq (T^{(x_r, y_r)} \tilde{g}_r)(0, 0)$.

Since $X_\eta$ is compact, the sequence $\{\tilde{f}_{r_i}\}_{i=1}^{\infty}$ has an accumulation point $\tilde{f}_\infty$. By passing to a subsequence, which we denote using the same sequence $\{r_i\}_{i=1}^{\infty}$, we can assume that $\lim_{i \to \infty} \tilde{f}_{r_i} = \tilde{f}_\infty$. Again by compactness, the sequence $\{\tilde{g}_{r_i}\}_{i=1}^{\infty}$ has an accumulation point $\tilde{g}_\infty$. Again passing to a subsequence, which we continue to denote by the same sequence, we can assume that $\lim_{i \to \infty} \tilde{g}_{r_i} = \tilde{g}_\infty$. Then by construction, $\tilde{f}_\infty(x, y) = \tilde{g}_\infty(x, y)$ for all $(x, y) \in \mathbb{Z}^2$ such that $x > 0$, but $\tilde{f}_\infty(0, 0) \neq \tilde{g}_\infty(0, 0)$. Thus the vertical direction with downward orientation is a nonexpansive direction for $\tilde{\eta}$. Therefore, the orientation on $\ell$ inherited from the downward orientation on $A(\ell)$ is nonexpansive for $\eta$.

Since half-planes contain arbitrarily wide strips, the second part of the proposition is immediate. 

The corollary shows that if $\ell$ is a nonexpansive line for $\eta$, then there is an orientation on $\ell$ that determines a nonexpansive direction for $\eta$. We do not know, a priori, that both orientations on $\ell$ determine nonexpansive directions for $\eta$. In the sequel, this is a significant hurdle: we put considerable effort into the construction of particular sets (Proposition 2.14) which can be used to show (Proposition 2.20) that when there exists $n \in \mathbb{N}$ such that $P_\eta(R_{n,3}) \leq 3n$, it is indeed the case that both orientations of a nonexpansive line for $\eta$ determine nonexpansive directions.

**Corollary 2.11.** Suppose there exists a finite, convex set $S \subseteq \mathbb{Z}^2$ and an edge $w \in E(S)$ such that

\[ D_\eta(S \setminus w) > D_\eta(S). \]

Then for any $w \in E(S)$, there are at most $|w \cap S| - 1$ $\eta$-colorings of $S \setminus w$ that do not extend uniquely to an $\eta$-coloring of $S$.

**Proof.** Since $|S \setminus w| = |S| - |w \cap S|$,

\[ P_\eta(S \setminus w) - |S| + |w \cap S| = D_\eta(S \setminus w) > D_\eta(S) = P_\eta(S) - |S|. \]
Therefore \( P_\eta(S) \leq P_\eta(S\setminus w) + |w\cap S| - 1 \). On the other hand, defining \( \pi: \mathcal{W}_\eta(S) \to \mathcal{W}_\eta(S \setminus w) \) to be the natural restriction, the number of \( \eta \)-colorings of \( S \setminus w \) that extend non-uniquely to an \( \eta \)-coloring of \( S \) is the number of points in \( \mathcal{W}_\eta(S \setminus w) \) whose preimage under \( \pi \) contains more than one element. Since \( \pi \) is surjective, this is at most \( |\mathcal{W}_\eta(S)| - |\mathcal{W}_\eta(S \setminus w)| \). In other words, it is at most \( P_\eta(S) - P_\eta(S\setminus w) \).

**Corollary 2.12.** Suppose there exists \( n \in \mathbb{N} \) such that \( P_\eta(R_{n,3}) \leq 3n \). If \( \ell \) is a nonexpansive direction for \( \eta \), \( T \subset \mathbb{Z}^2 \) is a finite set, and \( x \in V(T) \) is \( \eta \)-generated by \( T \), then there is no translation of \( \ell \) that separates \( x \) from \( \text{conv}(T \setminus \{x\}) \).

**Proof.** The argument is a straightforward modification of the proof of (1) in Proposition 2.7. \( \square \)

### 2.3. Balanced sets

We define the types of sets that are used to show that under the complexity assumption, both orientations of a nonexpansive line for \( \eta \) determine nonexpansive directions:

**Definition 2.13.** Suppose \( \ell \) is a directed line. A finite, convex set \( S \subset \mathbb{Z}^2 \) is \( \ell \)-**balanced** if

1. There is an edge \( w \in E(S) \) parallel to \( \ell \);
2. Both endpoints of \( w \) are \( \eta \)-generated by \( S \);
3. The set \( S \) satisfies \( D_\eta(S \setminus w) > D_\eta(S) \);
4. Every line parallel to \( \ell \) that has nonempty intersection with \( S \) intersects \( S \) in at least \( |w \cap S| - 1 \) integer points.

Note that an \( \ell \)-balanced set is not necessarily an \( \eta \)-generating set.

Definition 2.13 is slightly less general than the definition of an \( \ell \)-balanced set used in \([4]\), where an \( \ell \)-balanced does not necessarily satisfy the first condition.

The main result of this section is Proposition 2.14, where we use balanced sets to deduce the periodicity of certain elements of \( X_\eta \). In \([4]\), we relied on the stronger assumption that \( P_\eta(R_{n,k}) \leq \frac{nk}{2} \) to show the existence of balanced sets (as well as other uses related to the existence of generating sets with further properties). Due to the simplified geometry available in rectangles of height 3, we are able to avoid the stronger assumption.

We start by showing the existence of balanced sets:

**Proposition 2.14.** Suppose there exists \( n \in \mathbb{N} \) such that \( P_\eta(R_{n,3}) \leq 3n \) and suppose that \( \ell \subset \mathbb{R}^2 \) is a nonexpansive direction for \( \eta \). If \( \eta \) is aperiodic, then there exists an \( \ell \)-balanced subset.

**Proof.** Suppose \( \ell \) is a nonexpansive direction for \( \eta \). We make some simplifying assumptions. First, if \( n = 1 \) then by the Morse-Hedlund Theorem \([7]\), \( \eta \) is periodic and so we can assume that \( n > 1 \). Second, if \( P_\eta(R_{n,2}) \leq 2n \), then by Sander and Tijdeman’s Theorem \([11]\), \( \eta \) is periodic and so we can assume that \( P_\eta(R_{n,2}) > 2n \), meaning that

\[
D_\eta(R_{n,3}) \leq 0 < D_\eta(R_{n,2}).
\]

Finally, we can assume that \( P_\eta(R_{(n-1),3}) > 3n - 3 \), meaning that \( n \) is chosen to be the minimal integer satisfying \( P_\eta(R_{n,3}) \leq 3n \).

We consider three cases depending on the direction of \( \ell \): vertical, horizontal, and neither vertical nor horizontal.
By Proposition 2.7, there exists an \( \eta \)-generating set \( S \subset R_{n,3} \) and there is an edge \( w \in E(S) \) parallel to \( \ell \). If \( |w \cap S| = 2 \), then \( S \) is \( \ell \)-balanced and we are done. Thus it suffices to assume that \( |w \cap S| \geq 3 \).

**Assume \( \ell \) is vertical.** Suppose that \( \ell \) points downward (the case that \( \ell \) points upward is similar). Then since a vertical line cannot intersect a subset of \( R_{n,3} \) in more than three places, \( |w \cap S| = 3 \). Observe that \( (0,0) \) and \( (0,2) \) are both \( \eta \)-generated by \( R_{n,3} \) since \( S \) can be translated into \( R_{n,3} \) in such a way that \( w \) is translated to the set \( \{(0,0), (0,1), (0,2)\} \). In this case \( R_{n,3} \) is \( \ell \)-balanced.

**Assume \( \ell \) is horizontal.** Suppose that \( \ell \) points left (the case that \( \ell \) points right is similar). For \( 0 \leq a \leq b \leq n \), set

\[
S_{[a,b]} := R_{n,2} \cup \{(x, 2) : a \leq x \leq b \}.
\]

Let \( \tilde{S} \) be a minimal set of this form (with respect to the partial ordering by inclusion) satisfying \( D_{\eta}(\tilde{S}) \leq D_{\eta}(R_{n,3}) \); say \( \tilde{S} = S_{[a_0,b_0]} \) for some \( a_0 \leq b_0 \). Suppose first that \( a_0 = b_0 \). If \((a_0,2)\) is \( \eta \)-generated by \( R_{n,2} \), Corollary 2.12 contradicts the fact that the horizontal is a nonexpansive direction for \( \eta \). If \((a_0, 2)\) is not \( \eta \)-generated by \( R_{n,2} \), then \( D_{\eta}(R_{n,2}) \leq D_{\eta}(\tilde{S}) \leq D_{\eta}(R_{n,3}) \), also a contradiction of \( [2] \). Therefore we can assume \( a_0 < b_0 \) and \( D_{\eta}(\tilde{S}) \leq D_{\eta}(R_{n,3}) \leq D_{\eta}(R_{n,2}) \). By minimality and Lemma 2.3, the points \((a_0, 2)\) and \((b_0, 2)\) must both be \( \eta \)-generated by \( \tilde{S} \). In this case \( \tilde{S} \) is an \( \ell \)-balanced set.

**Assume \( \ell \) is neither vertical nor horizontal.** Making a coordinate change of the form \( (x, y) \mapsto (\pm x, \pm y) \) if necessary, we can assume that \( \ell \) points southwest. A line parallel to \( \ell \) cannot intersect \( R_{n,3} \) in more than three places and so \( |w \cap S| = 3 \). Since \( \ell \) is not horizontal, \( w \cap S \) can have at most one integer point at any \( y \)-coordinate and thus \( w \cap S \) has exactly one integer point at each of the three \( y \)-coordinates in \( R_{n,3} \). Therefore there exists an integer \( a > 0 \) such that \((-a, -1)\) is parallel to \( \ell \). Since a translation of any \( \eta \)-generating set is also \( \eta \)-generating, without loss of generality we can assume the bottom-most integer point on \( w \) is \((0, 0)\).

We claim that any \( \eta \)-coloring of \( R_{n,3} \) extends uniquely to an \( \eta \)-coloring of the set \( R_{n,3} \cup \{(-1, 0), (-2, 0), \ldots, (-a, 0)\} \). Set \( T_0 := R_{n,3} \) and for \( 0 < i \leq a \), define

\[
T_i := R_{n,3} \cup \{(-1, 0), (-2, 0), \ldots, (-i, 0)\}.
\]

Then the set \( S - (i, 0) \) is contained in \( T_i \) and \((S \setminus \{(0,0)\}) - (i, 0) \) is contained in \( T_{i-1} \). Since \( S - (i, 0) \) is an \( \eta \)-generating set, the color of vertex \((-i, 0)\) can be deduced from the coloring of \( S - (i, 0) \). Thus for \( 0 < i \leq a \), every \( \eta \)-coloring of \( T_{i-1} \) extends uniquely to an \( \eta \)-coloring of \( T_i \). Inductively, every \( \eta \)-coloring of \( R_{n,3} \) extends uniquely to an \( \eta \)-coloring of \( T_a \) and the claim follows (see Figure 1).

Therefore, \( P_{\eta}(T_a) = P_{\eta}(R_{n,3}) \) and we obtain

\[
D_{\eta}(T_a) = D_{\eta}(R_{n,3}) - a \leq -a.
\]

Observe that any line parallel to \( \ell \) that intersects \( \{(0, 2), (1, 2), \ldots, (a-1, 2)\} \) must intersect \( T_a \) in precisely one integer point. Inductively applying Corollary 2.12 we have that for each \( 0 \leq i < a \), the point \((i, 2)\) is not \( \eta \)-generated by the set \( T_a \setminus \{(0, 2), \ldots, (i-1, 2)\} \) and so \( D_{\eta}(T_a \setminus \{(0, 2), \ldots, (i-1, 2)\}) \leq D_{\eta}(T_a) \). Setting \( \bar{T}_a := T_a \setminus \{(0, 2), (1, 2), \ldots, (a-1, 2)\} \), it follows that \( D_{\eta}(\bar{T}_a) \leq -a \). Define

\[
S_0 := \bar{T}_a \setminus \{(0, n-a), (0, n-a+1), \ldots, (0, n-1)\}.
\]
The $\eta$-generating set $S$ is shaded.

The shaded region $T_1$ contains $S - (1, 0)$.

The shaded region $T_2$ contains $S - (2, 0)$.

Points not $\eta$-generated are removed.

Rational lines parallel to $\ell$ intersecting the shaded set $S_0$ contain at least 2 integer points.

Figure 1. Steps in the proof of Proposition 2.14 when $\ell$ is neither vertical nor horizontal.

By Corollary 2.4, $D_\eta(S_0) \leq D_\eta(T_a) + a \leq 0$. (See Figure 1.) Moreover, every line parallel to $\ell$ that has nonempty intersection with $w$ and for $0 < i \leq n - 1$, let $\ell_i := \ell_0 + (i, 0)$. Then for all $i$, $\ell_i \cap S_0 \neq \emptyset$ and every element of $S_0$ is contained in exactly one of $\ell_0, \ldots, \ell_{n-1}$. Let

$$U_i := \bigcup_{j=0}^{n-1} \ell_j \cap S$$

and observe that $U_0 = S_0$. Thus $D_\eta(U_0) \leq 0$. If $D_\eta(U_{n-1}) \leq 0$, then $U_{n-1}$ contains an $\eta$-generating set. Since $U_{n-1}$ is a convex subset of a single line, the Morse-Hedlund Theorem implies that $\eta$ is periodic, a contradiction. Therefore we have that $D_\eta(U_{n-1}) > 0$ and there is a maximal index $0 \leq i_{\text{max}} < n - 1$ such that $D_\eta(U_{i_{\text{max}}}) \leq 0$.

Write $\ell_{i_{\text{max}}} \cap S_0 = \{q_1, q_2, q_3\}$, where $q_1$ is the bottom-most element and $q_3$ is the top-most. If both $q_1$ and $q_3$ are $\eta$-generated by $U_{i_{\text{max}}}$, then $U_{i_{\text{max}}}$ is $\ell$-balanced and we are done (here we are using the fact that every line parallel to $\ell$ that has nonempty intersection with $S_0$ intersects it in at least two places). Otherwise, without loss of generality, suppose $q_3$ is not $\eta$-generated by $U_{i_{\text{max}}}$. Set

$$S_1 := U_{i_{\text{max}}} \setminus \{q_3\}.$$
Since this removes a non-generated vertex from a set of nonpositive discrepancy, it follows that \( D_\eta(S_1) \leq D_\eta(U_{i_{\text{max}}}) \leq 0 \). We claim that both \( q_1 \) and \( q_2 \) are \( \eta \)-generated by \( S_1 \). Say, for example, that \( q_2 \) is not \( \eta \)-generated by \( S_1 \). Then \( D_\eta(S_1 \setminus \{q_2\}) \leq 0 \) and \( q_1 \) is \( \eta \)-generated by \( S_1 \setminus \{q_2\} \), as otherwise \( D_\eta(U_{i_{\text{max}}+1}) \leq D_\eta(S_1) \leq D_\eta(U_{i_{\text{max}}}) \), contradicting maximality of \( i_{\text{max}} \). By Corollary 2.12, this contradicts the fact that \( \ell \) is a nonexpansive direction for \( \eta \). The same argument holds if \( q_1 \) is not \( \eta \)-generated and so we conclude that both \( q_1 \) and \( q_2 \) are \( \eta \)-generated by \( S_1 \). Therefore \( S_1 \) is an \( \ell \)-balanced set.

**Definition 2.15.** Given a nonexpansive direction \( \ell \) and an \( \ell \)-balanced set \( S^\ell \), define the associated border \( B_\ell(S^\ell) \) to be the thinnest strip with edges parallel to \( \ell \) that contains \( S^\ell \). If \( w_\ell \in E(S^\ell) \) is the edge of \( S^\ell \) that is parallel to \( \ell \), then \( B_\ell(S^\ell \setminus w_\ell) \) denotes the thinnest strip with edges parallel to \( \ell \) that contains \( S^\ell \setminus w_\ell \).

Note that if there exists \( n \in \mathbb{N} \) satisfying \( P_\eta(R_{n,3}) \leq 3n \), then Proposition 2.14 guarantees the existence of the set \( S^\ell \) and the boundary edge \( w_\ell \).

**Proposition 2.16.** Suppose there exists \( n \in \mathbb{N} \) such that \( P_\eta(R_{n,3}) \leq 3n \), \( \ell \) is a nonexpansive direction for \( \eta \), and \( H \) is a half-plane whose boundary is parallel to \( \ell \). Then if \( f, g \in X_\eta \) are such that \( f \neq g \) but \( f|H = g|H \), then both \( f \) and \( g \) are periodic with period vector parallel to \( \ell \).

Furthermore, if there exists an \( \ell \)-balanced set \( S^\ell \), \( w_\ell \in E(S^\ell) \) is the edge of \( S^\ell \) parallel to \( \ell \), and \( B_\ell(S^\ell) \) and \( B_\ell(S^\ell \setminus w_\ell) \) are the associated borders, then for any \( \tilde{u} \in \mathbb{Z}^2 \):

(i) If the restriction \((T^\tilde{u} f)|B_\ell(S^\ell \setminus w_\ell)\) does not extend uniquely to an \( \eta \)-coloring of \( B_\ell(S_\ell) \), then the period of \((T^\tilde{u} f)|B_\ell(S^\ell \setminus w_\ell)\) is at most \( |w_\ell \cap Z^2| - 1 \);

(ii) If the restriction \((T^\tilde{u} f)|B_\ell(S^\ell \setminus w_\ell)\) extends uniquely to an \( \eta \)-coloring of \( B_\ell(S^\ell) \), then the period of \((T^\tilde{u} f)|B_\ell(S^\ell \setminus w_\ell)\) is at most \( 2|w_\ell \cap Z^2| - 2 \).

Proof. We assume that \( \ell \) is a nonexpansive direction and there exists \( n \in \mathbb{N} \) with \( P_\eta(R_{n,3}) \leq 3n \). Let \( S^\ell \) be an \( \ell \)-balanced set, \( w_\ell \in E(S^\ell) \) be the edge of \( S^\ell \) parallel to \( \ell \) and let \( B_\ell(S^\ell) \) and \( B_\ell(S^\ell \setminus w_\ell) \) be the associated borders. By definition, \( S^\ell \setminus w_\ell \) is contained in \( B_\ell(S^\ell \setminus w_\ell) \). Find \( A \in SL_2(\mathbb{Z}) \) such that \( A(\ell) \) points vertically downward and define \( \tilde{\eta} : \mathbb{Z}^2 \to A \) by \( \tilde{\eta} = \eta \circ A^{-1} \). Observe that \( \eta \) is aperiodic if and only if \( \tilde{\eta} \) is aperiodic, and that \( S^\ell \) is \( A(\ell) \)-balanced for \( \tilde{\eta} \).

Let \( f, g \in X_\eta \) be as in the statement of the proposition. Let \( \tilde{f} := f \circ A^{-1}, \tilde{\eta} := \eta \circ A^{-1} \), and \( \tilde{w}_\ell := A(w_\ell) \). It suffices to show that for any \( \tilde{u} \in \mathbb{Z}^2 \), \( \tilde{f}, \tilde{g} \) are periodic and that \((T^\tilde{u} \tilde{f})|A(B_\ell(S^\ell \setminus w_\ell))\) satisfies the claimed bounds on its period.

The proof proceeds in three steps. First we show that the restriction of \( f \) to the strip \( B_\ell(S^\ell \setminus w_\ell) \) is periodic. Next we use this fact to show that \( f \) itself is periodic. Finally we use the periodicity of \( f \) (with some as yet unknown period) to establish the claimed bounds on the period of \((T^\tilde{u} \tilde{f})|A(B_\ell(S^\ell \setminus w_\ell))\).

**Step 1:** Showing \((T^\tilde{u} f)|B_\ell(S^\ell \setminus w_\ell)\) is periodic. For \( i \in \mathbb{Z} \), let \( H_i := \{(x, y) \in \mathbb{Z}^2 : x \geq i \} \).
By translating the coordinate system if necessary and using the nonexpansivity of \( \ell \), we can assume that \( A(H) = H_0 \). Furthermore, there exists a translation \((i,0)\) such that \( (T^{-(i,0)})\hat{f}|_{H_0} = (T^{-(i,0)})\hat{g}|_{H_0} \) but \( (T^{-(i,0)})\hat{f}|_{H_{-1}} \neq (T^{-(i,0)})\hat{g}|_{H_{-1}} \). Without loss, we can assume that \( i = 0 \). Set \( B := A(B_\ell(S^t \cup w_\ell)) \) and without loss, assume that \( B \subseteq H_0 \) and \( B \not\subseteq H_1 \). Choose minimal \( L \in \mathbb{N} \) such that

\[
B = \{(x,y) \in \mathbb{Z}^2 : 0 \leq x < L \}.
\]

For \( i \in \mathbb{Z} \), set

\[
C_i := \tilde{S}^t + (0,i) \quad \text{and} \quad D_i := \tilde{S}^t \setminus \tilde{w}_\ell + (0,i).
\]

We claim that for all \( i \in \mathbb{Z} \), the \( \eta \)-coloring \( \hat{f}\upharpoonright \tilde{D}_i \) does not extend uniquely to any \( \hat{\eta} \)-coloring of \( \hat{f}|_{C_i} \). If not, then \( \hat{f}\upharpoonright \tilde{B} \) extends uniquely to an \( \hat{\eta} \)-coloring of \( B \cup C_i \) for some \( i \in \mathbb{Z} \). Since any translation of an \( \ell \)-balanced set is also \( \ell \)-balanced, the top-most vertex of the edge of \( C_{i+1} \) parallel to \( A(\ell) \) is \( \eta \)-generated by \( C_{i+1} \). This is the only element of \( C_{i+1} \) that is not contained in \( B \cup C_i \), and so \( \hat{f}\upharpoonright \tilde{B} \) extends uniquely to an \( \eta \)-coloring of \( B \cup C_i \cup C_{i+1} \). By induction, \( \hat{f}\upharpoonright \tilde{B} \) extends uniquely to an \( \eta \)-coloring of \( B \cup \bigcup_{j \geq i} C_j \). The bottom-most vertex of the edge of \( C_i \) parallel to \( A(\ell) \) is also \( \eta \)-generated by \( C_i \), and so a similar induction argument shows that \( \hat{f}\upharpoonright \tilde{B} \) extends uniquely to an \( \hat{\eta} \)-coloring of \( B \cup \bigcup_{j \in \mathbb{Z}} C_j \). This contradicts the fact that \( \hat{f}\upharpoonright \tilde{H}_0 = \hat{g}\upharpoonright \tilde{H}_0 \) but \( \hat{f}\upharpoonright \tilde{H}_{-1} \neq \hat{g}\upharpoonright \tilde{H}_{-1} \) and so the claim follows. Equivalently, for all \( j \in \mathbb{Z} \), the \( \hat{\eta} \)-coloring \( (T^{(0,j)})\hat{f}\upharpoonright \tilde{D}_0 \) does not extend uniquely to an \( \hat{\eta} \)-coloring of \( C_0 \).

By Corollary 2.11 there are at most \(|\tilde{w}_\ell \cap \tilde{S}^t| - 1 = |w_\ell \cap S^t| - 1 \) many colorings of \( D_0 \) that extend non-uniquely to an \( \hat{\eta} \)-coloring of \( C_0 \). Thus

\[
\left| \left\{(T^{(0,i)})\hat{f}\upharpoonright \tilde{D}_0 : i \in \mathbb{Z} \right\} \right| \leq |w_\ell \cap S^t| - 1.
\]

For each integer \( 0 \leq x < L \), where \( L \) is defined as in \((3)\), let \( p_x \) be the bottom-most element of \( \tilde{S}^t \cap \{(x,j) : j \in \mathbb{Z}\} \). Set

\[
V := \{p_x : 0 \leq x < L\} \quad \text{and} \quad U := \bigcup_{y=0}^{\lceil \tfrac{|w_\ell \cap S^t| - 2}{2} \rceil} V + (0,y).
\]

Since \( \tilde{S}^t \) is \( A(\ell) \)-balanced, \( U \subseteq D_0 \). (See Figure 2.) Define \( \alpha : \mathbb{Z} \to W_\eta(V) \) by \( \alpha(j) := (T^{(0,j)})\hat{f}|_{V} \). Patterns of the form \( \alpha| \{m, m + 1, \ldots, m + |w_\ell \cap S^t| - 2\} \) are in one-to-one correspondence with patterns of the form \( (T^{(0,m)})\hat{f}|_{U} \). The number of such patterns is at most the number of patterns of the form \( (T^{(0,m)})\hat{f}|_{D_0} \), which is at most \(|w_\ell \cap S^t| - 1 \). By the Morse-Hedlund Theorem \([7]\), \( \alpha \) is periodic with period at most \(|w_\ell \cap S^t| - 1 \). Therefore \( \hat{f}\upharpoonright \tilde{B} \) is vertically periodic with period at most \(|w_\ell \cap S^t| - 1 \) as well.

Step 2: Showing \( f \) is periodic. For \( i \in \mathbb{Z} \), set

\[
B_i := B + (i,0).
\]

We claim that for any \( i \geq 0 \), we have that \( \hat{f}\upharpoonright \tilde{B}_{-i} \) is vertically periodic and the periods satisfy the bounds in the statement of the proposition. For \( i = 0 \), we have already shown that \( \hat{f}\upharpoonright \tilde{B}_0 \) is vertically periodic of period at most \(|w_\ell \cap S^t| - 1 \). We proceed by induction and suppose that for all \( 0 \leq i < k \), we have that \( \hat{f}\upharpoonright \tilde{B}_{-i} \) is periodic and
(i) The period of $\tilde{f}|_{B_{-k}}$ is at most $2|w_\ell \cap S^\ell| - 2$;

(ii) If for all $j \in \mathbb{Z}$, the $\eta$-coloring $(T^{-(-i+j)} \tilde{f})|_{\tilde{S}^\ell}$ does not extend uniquely to an $\eta$-coloring of $\tilde{S}^\ell$, then the period of $\tilde{f}|_{B_{-k}}$ is at most $|w_\ell \cap S^\ell| - 1$.

First we show that $\tilde{f}|_{B_{-k}}$ is vertically periodic of period at most $2|w_\ell \cap S^\ell| - 2$. Suppose there exists $j \in \mathbb{Z}$ such that

$$
(T^{-(-k+1,j)} \tilde{f})|_{\tilde{S}^\ell \setminus \tilde{w}_\ell} \text{ extends uniquely to an } \eta\text{-coloring of } \tilde{S}^\ell.
$$

Let $p \leq 2|w_\ell \cap S^\ell| - 2$ be the minimal vertical period of $\tilde{f}|_{B_{-k+1}}$. Then for all $m \in \mathbb{Z}$,

$$(T^{-(-k+1,j+mp)} \tilde{f})|_{\tilde{S}^\ell \setminus \tilde{w}_\ell} \text{ extends uniquely to an } \eta\text{-coloring of } \tilde{S}^\ell \text{ and in particular all the colorings } (T^{-(-k+1,j+mp)} \tilde{f})|_{\tilde{S}^\ell} \text{ coincide. By periodicity of } \tilde{f}|_{B_{-k+1}}\text{, all of the colorings } (T^{-(-k+1,j+mp)} \tilde{f})|_{\tilde{S}^\ell \setminus \tilde{w}_\ell} \text{ coincide and so all of the colorings } (T^{-(-k+1,j+mp)} \tilde{f})|_{\tilde{S}^\ell} \text{ coincide except possibly on the top-most element of } \tilde{w}_\ell. \text{ Since } \tilde{S}^\ell \text{ is } A(\ell)\text{-balanced, the top-most element of } \tilde{w}_\ell \text{ is } \eta\text{-generated by } \tilde{S}^\ell, \text{ and so the colorings coincide on the top-most element of } \tilde{w}_\ell \text{ as well. By induction, for any } q \text{ with } 0 \leq q < p \text{ and all } m \in \mathbb{Z}, \text{ all colorings of the form } (T^{-(-k+1,j+mp+q)} \tilde{f})|_{\tilde{S}^\ell} \text{ coincide. This implies that } \tilde{f}|_{B_{-k}} \text{ is periodic and that its period divides the period of } \tilde{f}|_{B_{-k+1}}.

Otherwise, if $[4]$ does not hold, we can suppose that for all $j \in \mathbb{Z}$, the coloring $(T^{-(-i+j)} \tilde{f})|_{\tilde{S}^\ell \setminus \tilde{w}_\ell} \text{ does not extend uniquely to an } \eta\text{-coloring of } \tilde{S}^\ell. \text{ Then by applying the Morse-Hedlund Theorem as in Step 1, the vertical period of } \tilde{f}|_{B_{-k+1}} \text{ is at most } |w_\ell \cap S^\ell| - 1. \text{ As above, let } 0 \leq p < |w_\ell \cap S^\ell| - 1 \text{ be the minimal vertical period of } \tilde{f}|_{B_{-k+1}}. \text{ Let } \pi : W_\eta(\tilde{S}^\ell) \to W_\eta(\tilde{S}^\ell \setminus \tilde{w}_\ell) \text{ be the natural restriction map. As in Corollary 2.11 there are at most } P_\eta(\tilde{S}^\ell) - P_\eta(\tilde{S}^\ell \setminus \tilde{w}_\ell) \text{ elements of } W_\eta(\tilde{S}^\ell \setminus \tilde{w}_\ell) \text{ whose pre-image under } \pi \text{ contains more than one element; say the number of such elements is } Q. \text{ There are at most } Q + P_\eta(\tilde{S}^\ell) - P_\eta(\tilde{S}^\ell \setminus \tilde{w}_\ell) \text{ elements of } W_\eta(\tilde{S}^\ell)$.
where \( \pi \) is not one-to-one. That is, there are at most
\[
2(P_\eta(\hat{S}^t) - P_\eta(\hat{S}^t \setminus \hat{w}_t)) \leq 2|w_t \cap S^t| - 2
\]
many \( \eta \)-colorings of \( \hat{S}^t \) whose restrictions to \( \hat{S}^t \setminus \hat{w}_t \) do not extend uniquely to \( \eta \)-colorings of \( S^t \).

Each of the colorings \((T^{-(k+1,j_1)}\hat{f})|\hat{S}^t\) is such a coloring. By the pigeonhole principle, there exist \( 0 \leq i < j < p \) such that
\[(T^{-(k+1,j_i)}\hat{f})|\hat{S}^t = (T^{-(k+1,j_j)}\hat{f})|\hat{S}^t.\]  

Since \( \hat{S}^t \) is \( A(\ell) \)-balanced, every vertical line with nonempty intersection with \( \hat{S}^t \) contains at least \(|w_t \cap S^t| - 1 \) integer points. Since the vertical period of \( \hat{f}|B_{-k+1} \) is at most \(|w_t \cap S^t| - 1 \) and by using \([3]\), we have that \( j_2 - j_1 \) is a multiple of \( p \).

Using induction as previously, we have that
\[(T^{-(k+1,j_1+j)}\hat{f})|\hat{S}^t = (T^{-(k+1,j_2+j)}\hat{f})|\hat{S}^t\]
for all \( j \in \mathbb{Z} \). In particular \( \hat{f}|B_{-k+1} \cup B_{-k} \) is vertically periodic of period at most \( 2|w_t \cap S^t| - 2 \).

By induction, for all \( k > 0 \) we have that \( \hat{f}|B_{-k} \) is vertically periodic with the bounds claimed in the proposition. Let \( T^t \subseteq R_{n,3} \) be a set with \( w \) is balanced in the direction antiparallel to \( \ell \). Since the restriction of \( \hat{f} \) to the vertical half-plane \( \{(x, y) \in \mathbb{Z}^2 : x \leq 0\} \) is periodic, a similar induction argument (using \( T^t \) in place of \( S^t \)) shows that \( \hat{f} \) is vertically periodic on all of \( \mathbb{Z}^2 \), where the precise bounds on the period are yet to be determined. (A priori, these bounds depend on the number of integer points on the edge of \( T^t \) that is antiparallel to \( \ell \).)

**Step 3:** *Showing that the period of \( f \) satisfies the claimed bounds.* We are left with showing that \( \hat{f}|B_k \) satisfies the claimed bounds for all \( k \in \mathbb{Z} \). We remark that the argument showing that \( \hat{f}|B_{-k} \) is vertically periodic with the claimed bounds relied only the fact that \( \hat{f}|B_0 \) was vertically periodic of period at most \(|w_t \cap S^t| - 1 \). Thus it suffices to show that for infinitely many \( k > 0 \), the vertical period of \( \hat{f}|B_k \) is at most \(|w_t \cap S^t| - 1 \), since then the previous argument shows that the half-plane to the left of such a \( B_k \) satisfies the claimed bounds. As before, it further suffices to show that for infinitely many \( k > 0 \), the \( \eta \)-coloring \( \hat{f}|B_k \) does not extend uniquely to an \( \eta \)-coloring of \( B_k \cup B_{k-1} \).

Since \( \hat{f}|B_k \) is vertically periodic for all \( k \), there are only finitely many colorings \( B_0 \) that are of the form \((T^{-(k,0)}\hat{f})|B_0\) for some \( k \in \mathbb{Z} \). Say there exists an integer \( k_{\text{min}} \geq 0 \) such that \((T^{-(k,0)}\hat{f})|B_0 \) extends uniquely to an \( \eta \)-coloring of \( B_0 \cup B_{-1} \) for all \( k > k_{\text{min}} \) and without loss assume that \( k_{\text{min}} \) is the minimal integer with this property. Let \( K \geq k_{\text{min}} \) be the smallest integer for which there exists \( i \in \mathbb{N} \) such that
\[(T^{-(K+i,0)}\hat{f})|B_0 = (T^{-(K,0)}\hat{f})|B_0 \]
(K exists by the pigeonhole principle). Then by definition of \( k_{\text{min}} \), there is a unique extension of this common coloring of \( B_0 \cup B_{-1} \). In particular, \((T^{-(K-1,0)}\hat{f})|B_0 = (T^{-(K+i-1,0)}\hat{f})|B_0\). If \( K > 0 \), this contradicts minimality of \( k_{\text{min}} \). If \( K = 0 \) this contradicts the fact that \( \hat{f}|B_0 \) does not extend uniquely to an \( \eta \)-coloring of \( B_0 \cup B_{-1} \), which is one of the defining characteristics of \( \hat{f} \). Either case leads to a
contradiction, and so we conclude that no such integer $k_{\text{min}}$ exists. The bounds on $\hat{f}|_{B_i}$ claimed in the proposition follow.

The analogous argument applied to $g$ implies the periodicity of $g$. \qed 

**Corollary 2.17.** Assume there exists $n \in \mathbb{N}$ such that $P_\eta(R_{n,3}) \leq 3n$. Suppose $\ell$ is an oriented rational line in $\mathbb{R}^2$, $\hat{\ell}$ is the anti-parallel line, $S^\ell$ is an $\ell$-balanced set, $\hat{S}^\ell$ is an $\hat{\ell}$-balanced set, $w_\ell \in E(S)$ is the edge parallel to $\ell$ and $B \subset \mathbb{Z}^2$ is the thinnest bi-infinite strip with edges parallel and antiparallel to $\ell$ that contains $S^\ell \setminus w_\ell$. If $\eta|B$ is periodic, then $\eta$ is periodic with period vector parallel to $\ell$.

**Proof.** Let $S^\ell$ be an $\ell$-balanced set and let $w_\ell \in E(S)$ be the associated edge and $B$ the associated strip. The argument is nearly identical to the proof of Step 2 of Proposition 2.16 and so we just summarize the differences. Maintaining the notation in that proof, if there exists $i \in \mathbb{Z}$ such that $\hat{f}|_{B_i}$ extends uniquely to an $\eta$-coloring of $B_i \cup B_{i-1}$, then $\hat{f}|_{B_i}$ is periodic of period at most $|w_\ell \cap S^\ell| - 1$ and the remainder of the induction is identical. Otherwise, for every $i \in \mathbb{Z}$, the coloring $\hat{f}|_{B_i}$ extends uniquely to an $\eta$-coloring of $B_i \cup B_{i-1}$. By the pigeonhole principle and the fact that $S^\ell$ is $\ell$-balanced, as in Step 2 of Proposition 2.16, it follows that whenever $\hat{f}|_{B_i}$ is vertically periodic, $\hat{f}|_{B_{i-1}}$ is vertically periodic of period dividing that of $\hat{f}|_{B_i}$. This establishes the result for the restriction of $f$ to $\bigcup_{i=0}^\infty B_{i-1}$. The restriction to the other half-plane follows a similar argument using the antiparallel line $\hat{\ell}$ and associated $\hat{\ell}$-balanced set $\hat{S}^\ell$ instead of $S^\ell$. \qed 

**Corollary 2.18.** Suppose there exists $n \in \mathbb{N}$ such that $P_\eta(R_{n,3}) \leq 3n$ and $f \in X_\eta$. Suppose $\ell$ is a nonexpansive direction for $\eta$, $\bar{u} \in \mathbb{Z}^2$ is the shortest integer vector parallel to $\ell$, $S$ is an $\ell$-balanced set, and $w \in E(S)$ is the edge parallel to $\ell$. Let $B_\ell(S \setminus w)$ be the intersection of $\mathbb{Z}^2$ with all lines parallel to $\ell$ that have nonempty intersection with $S \setminus w$. Finally, suppose there exists $R \in \mathbb{N}$ such that for all $r \geq R$, $(T^{r-\bar{u}}f)|_{S \setminus w}$ does not extend uniquely to an $\eta$-coloring of $S$. Then $\hat{f}|_{B_\ell(S \setminus w)}$ is eventually periodic with period vector parallel to $\bar{u}$, period at most $|w \cap S| - 1$, and the initial portion which may not be periodic has length at most $|w \cap S| - 1$.

**Proof.** The proof is almost identical to Step 1 of Proposition 2.16. Define $\alpha : \mathbb{N} \to \{(T^{r-\bar{u}}f)|_{B_\ell(S \setminus w)} : r \geq R\}$ by setting $\alpha(i) := (T^{r-\bar{u}}f)|_{B_\ell(S \setminus w)}$. As in Proposition 2.16 we have that the number of patterns of the form $\alpha|\{m, m + 1, \ldots, m + |w \cap S| - 2\}$ is at most $|w \cap S| - 1$. The one-sided version of the Morse-Hedlund Theorem \cite{Hedlund} shows that $\alpha$ is eventually periodic with period at most $|w \cap S| - 1$ and is such that the initial portion has length at most $|w \cap S| - 1$. \qed 

**Corollary 2.19.** Assume there exists $n \in \mathbb{N}$ such that $P_\eta(R_{n,3}) \leq 3n$. Suppose $\ell$ is an oriented rational line and there exists an $\ell$-balanced set $S^\ell$. Let $w_\ell \in E(S^\ell)$ be the edge parallel to $\ell$ and suppose $T \subset \mathbb{Z}^2$ is an infinite convex set with a semi-infinite edge $w_\ell$ parallel to $\ell$. Let $U := \{\bar{u} \in \mathbb{Z}^2 : (S^\ell \setminus w_\ell) + \bar{u} \subseteq T$ and $w_\ell + \bar{u} \not\subseteq T\}$. If $\eta|_{(S \setminus w_\ell)} + U$ is periodic with period vector parallel to $\ell$, then $\eta|_{S + U}$ is periodic with period vector parallel to $\ell$. Moreover if for all $\bar{u} \in U$ the coloring $(T^{\bar{u}}\eta)|_{S \setminus w_\ell}$ does not extend uniquely to an $\eta$-coloring of $S$, then the period of $\eta|_{(S \setminus w_\ell)} + U$ is
at most $|w_\ell \cap S| - 1$ and the period of $\eta|S + \vec{u}$ is at most $2|w_\ell \cap S| - 2$. Otherwise the period of $\eta|S + \vec{u}$ is equal to the period of $\eta|(S \setminus w_\ell) + U$.

Proof. This follows from the Morse-Hedlund Theorem and the pigeonhole principle, as in Steps 2 and 3 of Proposition 2.16 and in Corollary 2.18. □

Proposition 2.20. Assume $\eta$ is aperiodic and there exists $n \in \mathbb{N}$ such that $P_\eta(R_{n,3}) \leq 3n$. If $\ell$ is a nonexpansive direction for $\eta$ and $S$ is an $\eta$-generating set, then the direction antiparallel to $\ell$ is also nonexpansive for $\eta$. In particular, there is an edge $\tilde{w}_\ell \in E(S)$ antiparallel to $\ell$.

Proof. We proceed by contradiction. Suppose $\ell$ is nonexpansive but the antiparallel direction $\hat{\ell}$ is expansive for $\eta$. By Corollary 2.9 $\ell$ is a rational line. Let $f, g \in X_\eta$ be as in Proposition 2.16. For convenience assume that $\ell$ points vertically downward by composing, if needed, with some $A \in SL_2(\mathbb{Z})$. Expansivity of $\ell$ means there exist $a, b \in \mathbb{N}$ such that every $\eta$-coloring of $[-a+1,0] \times [-b+1,b-1]$ extends uniquely to an $\eta$-coloring of the larger set $[-a+1,0] \times [-b+1,b-1] \cup \{(1,0)\}$. (Otherwise, there are rectangles $Q_R = [-R+1,0] \times [-R+1,R-1]$ for every $R \geq 1$ and there exist functions $f_R, g_R \in X_\eta$ such that $f_R|Q_R = g_R|Q_R$ and $f_R(1,0) = g_R(1,0)$. Passing to a limit we obtain $f_{\infty}, g_{\infty} \in X_\eta$ that agree on a half plane but disagree at $(1,0)$, contradicting expansivity.)

Then both $\tilde{f} = f \circ A^{-1}$ and $\tilde{g} = g \circ A^{-1}$ are vertically periodic and agree on a vertical half plane and so at most one of $\tilde{f}$ and $\tilde{g}$ is horizontally periodic. Without loss, assume that $\tilde{f}$ is not horizontally periodic. Let $C$ be the set of $\tilde{f}$-colorings of the border $B_\ell(S^\ell \setminus w_\ell)$, where $S^\ell$ is an $\ell$-balanced set and $w_\ell$ is the edge of $S^\ell$ parallel to $\ell$. (Note that such a set $S^\ell$ exists by Proposition 2.14.) The set $C$ is finite because $B_\ell(S^\ell \setminus w_\ell)$ is a vertical strip and $\tilde{f}$ is vertically periodic. We produce a coloring $\alpha: \mathbb{Z} \to C$ by coloring the integer $i$ with the color $(T^{{-i,0}})\tilde{f}|B_\ell(S^\ell \setminus w_\ell)$.

Since every $\eta$-coloring of $[-a+1,0] \times [-b+1,b-1]$ extends uniquely to an $\eta$-coloring of $[-a+1,0] \times [-b+1,b-1] \cup \{(1,0)\}$, we also have that every $\eta$-coloring of $[-a+1,0] \times (-\infty,\infty)$ extends uniquely to an $\eta$-coloring of $[-a+1,1] \times (-\infty,\infty)$. Therefore for any $i \in \mathbb{Z}$, the $\alpha$-color of $\{i, i+1, \ldots, i+a-1\}$ uniquely determines the $\alpha$-color of $i+a$. Therefore $\alpha$ is periodic and hence $\tilde{f}$ is horizontally periodic, a contradiction. Thus $\hat{\ell}$ is expansive for $\eta$.

By Proposition 2.7 there is an edge $\hat{w}_\ell \in E(S)$ antiparallel to $\hat{\ell}$. □

Corollary 2.21. Assume that $\eta$ is aperiodic and there exists $n \in \mathbb{N}$ such that $P_\eta(R_{n,3}) \leq 3n$. Let $S \subseteq R_{n,3}$ be an $\eta$-generating set satisfying [1]. Then for every nonhorizontal, nonexpansive direction $\ell$, $S$ is $\ell$-balanced.

If $\ell$ is horizontal and nonexpansive, then $S$ is either $\ell$-balanced or $\hat{\ell}$-balanced, where $\hat{\ell}$ is the antiparallel direction.

Proof. Assume that $\ell$ is a nonhorizontal and nonexpansive direction. We check the four conditions of Definition 2.13. The first condition follows from Proposition 2.7; the second is immediate from the definition of an $\eta$-generating set and the third follows since $S$ satisfies [1]. If $|w \cap S| = 2$, then the fourth condition follows since every line with nonempty intersection with $S$ intersects in at least one point. If $|w \cap S| = 3$, then $\ell$ is either vertical or determines a line with slope of the form $1/a$ for some integer $a > 0$. By Proposition 2.20, there exists $\hat{w}_\ell \in E(S)$ antiparallel to $\ell$. Since both endpoints of $\hat{w}_\ell$ are boundary vertices of $S$, $|\hat{w}_\ell \cap S| \geq 2$. Therefore
any line parallel to $\ell$ that has nonempty intersection with $S$, intersects $S$ in at least two integer points.

If $\ell$ is horizontal, let $n$ be the smaller of the number of integer points on the top and bottom edges of $S$. By convexity of $S$, the middle line has length $r \geq n$ for some $r \in \mathbb{R}$. Thus the middle line contains at least $\lfloor r \rfloor \geq n$ integer points, and so $S$ is balanced for either $\ell$ or $\hat{\ell}$.

3. Complexity with Multiple Nonexpansive Lines

In this section, we show that the complexity assumption of the existence of $n \in \mathbb{N}$ such that $P_\eta(R_{n,3}) \leq 3n$ is incompatible with the existence of more than one nonexpansive line for $\eta$.

We assume throughout that:

(H1) $X_\eta$ has at least two nonexpansive lines.

(H2) There exists $n \in \mathbb{N}$ such that $P_\eta(n,3) \leq 3n$.

If $\eta$ is periodic, let $\vec{u} \in \mathbb{Z}^2$ be a period vector and consider any line $\ell$ that is not parallel to $\vec{u}$. By taking a neighborhood of $\ell$ wide enough to include $\ell \pm \vec{u}$, we have that $\ell$ is expansive. Thus every line apart from possibly the direction determined by $\vec{u}$ is expansive, so there is at most one nonexpansive line. Thus Hypothesis (H1) implies that

(6) $\eta$ is aperiodic.

We begin with some general facts about the shape of an $\eta$-generating set. By Proposition 2.7 if $S$ is an $\eta$-generating set, then the boundary $\partial S$ contains an edge parallel to each nonexpansive direction. By Proposition 2.20 whenever $\ell$ is a nonexpansive direction, the direction antiparallel to $\ell$ is also a nonexpansive direction. Since $S \subseteq R_{n,3}$, $\partial S$ cannot consist of more than six edges (at most two edges are horizontal and the others connect integer points in $R_{n,3}$ with different $y$-coordinates). Thus there are at most three nonexpansive lines for $\eta$, and each orientation on each line determines a nonexpansive direction. Furthermore, by Proposition 2.7 we can assume that all of the nonexpansive lines are rational lines through the origin.

We start with a construction of a large convex set that is used in Propositions 3.3 and 3.5 to show that $\eta$ cannot have multiple nonexpansive lines while also having low complexity.

As noted, we have at most three nonexpansive lines for $\eta$. Let

(7) $\ell_1, \ell_2 \subset \mathbb{R}^2$ or $\ell_1, \ell_2, \ell_3 \subset \mathbb{R}^2$ denote the nonexpansive lines for $\eta$, depending if there are 2 or 3 nonexpansive lines. We write all statements for three nonexpansive lines, with the implicit understanding that when there are only 2 nonexpansive lines, we remove any reference to $\ell_3$.

Without loss of generality, we can assume that all $\ell_i$ pass through the origin. By Corollary 2.9 we can assume that the nonexpansive lines are rational lines and without loss we can assume that $\ell_1, \ell_3$ are not horizontal. By Proposition 2.10 there exist orientations on $\ell_1, \ell_2, \ell_3$ that determine nonexpansive directions for $\eta$. For the remainder of this construction, we make a slight abuse of notation and view $\ell_1, \ell_2, \ell_3$ as directed lines that determine nonexpansive directions.

Let $S \subseteq R_{n,3}$ be an $\eta$-generating set. By Proposition 2.7 there exist edges $w_1, w_2, w_3 \in E(S)$ parallel to $\ell_1, \ell_2, \ell_3$, respectively. By Proposition 2.20 there
exist \( \tilde{w}_1, \tilde{w}_2, \tilde{w}_3 \in E(S) \) such that \( w_i \) is antiparallel to \( \tilde{w}_i \), for \( i = 1, 2, 3 \). By Corollary 2.21, since \( w_1 \) and \( w_3 \) are not horizontal, we have that \( S \) is \( w_1, \tilde{w}_1, w_3 \) and \( \tilde{w}_3 \)-balanced. If \( w_2 \) is not horizontal, then again applying Corollary 2.21 we have that \( S \) is both \( w_2 \) and \( \tilde{w}_2 \)-balanced. If \( w_2 \) is horizontal, then \( S \) is balanced for at least one of \( w_2 \) and \( \tilde{w}_2 \). So, without loss, we can assume that \( S \) is \( w_1, \tilde{w}_1, w_3, \tilde{w}_3 \) and \( \tilde{w}_2 \)-balanced.

Let \( H'_0 \) denote the half-plane through the origin determined by \( \ell_1 \). Let \( H'_{-1} \) be the smallest half-plane strictly containing \( H'_0 \) whose boundary contains an integer point (this is well-defined since \( \ell_1 \) is a rational line). Since \( \ell_1 \) is a nonexpansive direction, there exist \( f, g \in X_\eta \) such that \( f|_{H'_0} = g|_{H'_0} \) but \( f|_{H'_{-1}} \neq g|_{H'_{-1}} \). Since \( \ell_2 \) is not parallel to \( \ell_1 \) and \( f|_{H'_0} = g|_{H'_0} \), at most one of \( f|_{H'_{-1}} \) and \( g|_{H'_{-1}} \) extends to a \( \mathbb{Z}^2 \)-coloring that is periodic with period vector parallel to \( \ell_2 \). Without loss of generality, suppose \( f|_{H'_{-1}} \) is an \( \eta \)-coloring of \( H'_{-1} \) which cannot be extended to a periodic \( \eta \)-coloring of \( \mathbb{Z}^2 \) with a period vector parallel to \( \ell_2 \). By Proposition 2.16, \( f \) is periodic with period vector parallel to \( \ell_1 \). Translating if needed, we can assume that \( (w_1 \cap \mathbb{Z}^2) \subset H'_{-1} \setminus H'_0 \). It follows that \( S \subset H'_{-1} \) (recall that the boundaries of both \( S \) and \( H'_{-1} \) are positively oriented).

To make the constructions clearer, it is convenient to make a change of coordinates such that \( \ell_1 \) points vertically downward. Thus choose \( A \in SL_2(\mathbb{Z}) \) such that \( A(\ell_1) \) points vertically downward. Define

\[
\tilde{\eta} := \eta \circ A^{-1}, \tilde{f} := f \circ A^{-1}, \tilde{S} := A(S),
\]

and

\[
\hat{\ell}_i := A(\ell_i), \hat{w}_i := A(w_i) \text{ and } \hat{\tilde{w}}_i := A(\tilde{w}_i), \text{ for } i = 1, 2, 3.
\]

Then for any finite, nonempty set \( T \subset \mathbb{Z}^2 \), we have \( D_\eta(T) = D_\tilde{\eta}(A(T)) \). It follows that \( \tilde{\eta} \) is aperiodic and \( \tilde{f} \) is vertically periodic with minimal period \( p \) and is not doubly periodic. Further,

\[
\tilde{S} \text{ is an } \tilde{\eta}-\text{generating set}
\]

and

\[
\tilde{S} \text{ is } \hat{w}_1, \hat{w}_1, \hat{w}_3, \hat{\tilde{w}}_3 \text{-balanced and is balanced for at least one of } \hat{w}_2 \text{ and } \hat{\tilde{w}}_2.
\]

For \( i \in \mathbb{Z} \), define

\[
H_i := \{(x, y) \in \mathbb{Z}^2 : x \geq i\}.
\]

Note that \( H_0 = A(H'_0) \) and \( H_{-1} = A(H'_{-1}) \). For \( i \in \mathbb{Z} \), let \( B_i \) be a vertical strip of width \( i \) defined by

\[
B_i := H_{-1} \setminus H_{i-2}
\]

and let \( \bar{B}_i \) be the vertical sub-strip of width \( i - 1 \) defined by

\[
\bar{B}_i := H_0 \setminus H_{i-2}.
\]

Let \( d \in \mathbb{N} \) be the number of distinct vertical lines passing through \( \tilde{S} \) and note that \( \tilde{S} \subset B_d \) and \( (\tilde{S} \setminus w_1) \subset B_d \).

We claim there are infinitely many integers \( x \geq 0 \) such that

\[
\tilde{f}|_{\bar{B}_d + (x, 0)} \text{ does not extend uniquely to an } \eta \text{-coloring of } B_d + (x, 0).
\]
By construction, \( x = 0 \) is such an integer. If there are not infinitely many such integers, let \( x_{\max} \) denote the largest such integer. By (10), \( \tilde{f} \) is vertically periodic and there are only finitely many colorings of the form \( (T^{(x,0)}\tilde{f})|_{\tilde{B}_d} \); say there are \( P \) such colorings. By the pigeonhole principle, there are distinct integers \( x_1, x_2 \in \{x_{\max} + 1, \ldots, x_{\max} + P + 2\} \) such that

\[
(T^{(x_1,0)}\tilde{f})|_{\tilde{B}_d} = (T^{(x_2,0)}\tilde{f})|_{\tilde{B}_d};
\]

without loss assume that \( x_1 \geq x_{\max} \) is the smallest integer for which there exists \( x_2 \) with this property. Since \( (T^{(x_2,0)}\tilde{f})|_{\tilde{B}_d} \) extends uniquely to an \( \eta \)-coloring of \( B_d \), so does \( (T^{(x_1,0)}\tilde{f})|_{\tilde{B}_d} \). Therefore

\[
(T^{(x_1-1,0)}\tilde{f})|_{\tilde{B}_d} = (T^{(x_2-1,0)}\tilde{f})|_{\tilde{B}_d};
\]

Since \( x_2 - 1 > x_{\max} \), we have that \( (T^{(x_2-1,0)}\tilde{f})|_{\tilde{B}_d} \) extends uniquely to an \( \eta \)-coloring of \( B_d \). Thus so does \( (T^{(x_1-1,0)}\tilde{f})|_{\tilde{B}_d} \), and in particular, we have that \( x_1 - 1 > x_{\max} \). However, this contradicts the choice of \( x_1 \) as the smallest integer with this property and the claim follows.

Let \( 0 = x_1 < x_2 < x_3 < \ldots \) be a sequence integers satisfying (14). Then since \( \tilde{S} \) is \( \tilde{w}_1 \)-balanced by (12) and for all \( i \in \mathbb{N} \), \( \tilde{f} \rceil_{A^{-1}(B_d + (x_i, 0))} \) satisfies condition (4) in Proposition 2.16 and so it has period at most \( |\tilde{w}_1 \cap \tilde{S}| - 1 = |\tilde{w}_1 \cap \tilde{S}| - 1 \). It follows that for all \( i \in \mathbb{N} \), \( \tilde{f} \rceil_{B_d + (x_i, 0)} \) is vertically periodic of period at most \( |\tilde{w}_1 \cap \tilde{S}| - 1 \).

**Claim 3.1.** For all \( i \geq d \), there is no finite set \( F_i \subset B_i \) such that every \( \tilde{\eta} \)-coloring of the form \( (T^{(0,j)}\tilde{f})|_{F_i} \) extends uniquely to an \( \tilde{\eta} \)-coloring of \( B_i \).

If not, suppose \( F_i \subset B_i \) is a finite set and for all \( j \in \mathbb{Z} \) the coloring \( (T^{(0,j)}\tilde{f})|_{F_i} \) extends uniquely to an \( \eta \)-coloring of \( B_i \). Since \( \tilde{f} \in X_{\tilde{\eta}} \), there exists \( \tilde{u} \in \mathbb{Z}^2 \) such that

\[
\tilde{f} \rceil_{F_i} = (T^{\tilde{u}}\tilde{\eta})|_{F_i};
\]

where the existence of \( \tilde{u} \) follows from the fact that every finite pattern occurring in an element of \( X_{\tilde{\eta}} \) also occurs in \( \tilde{\eta} \). Therefore \( (T^{\tilde{u}}\tilde{\eta})|_{B_i} = \tilde{f} \rceil_{B_i} \) is vertically periodic. By Corollary 2.17, we have that \( \tilde{\eta} \) is periodic and thus that \( \eta \) is periodic, a contradiction of (5). The claim follows.

To describe the large set we construct, we define:

**Definition 3.2.** If \( S \subseteq \mathbb{Z}^2 \) is a convex set, then \( T \subseteq \mathbb{Z}^2 \) is \( E(S) \)-enveloped if

(i) \( T \) is convex;

(ii) For all \( w \in E(T) \), there exists \( u \in E(S) \) such that \( w \) is parallel to \( u \) and \( |w| \geq |u| \).

Maintaining notation of \( \tilde{f} \) and \( \tilde{S} \) defined in (8) and \( B_1 \) defined in (13), we inductively define a convex set \( G_{\infty} \) on which we can control periodicity. For each \( i \in \mathbb{N} \), let

\[
F_i \subset B_{d+i-1} \text{ be a finite, } E(\tilde{S}) \text{-enveloped set containing } [-1, i - 1] \times [-d - i - 1, d + i + 1],
\]

and let

\[
G_i \subset B_{d+i-1} \text{ be the largest } E(\tilde{S}) \text{-enveloped set to which } \tilde{f} \rceil_{F_i} \text{ extends uniquely}
\]
(we allow the possibilities that \( G_i = F_i \) or that \( G_i \) is infinite).

By Claim \[3.1\] \( G_j \neq B_j \) and so the set
\[
G_j \cap \{(−1, y) : y \in \mathbb{Z}\}
\]
is semi-infinite. This semi-infinite line either has an element of maximal \( y \)-coordinate or of minimal \( y \)-coordinate. Therefore there is either a subsequence \( \{j_k\}_{k \in \mathbb{N}}^\infty \) such that \( G_{j_k} \cap \{(−1, y) : y \in \mathbb{Z}\} \) has an element of maximal \( y \)-coordinate for all \( k \) or there is a subsequence such that \( G_{j_k} \cap \{(−1, y) : y \in \mathbb{Z}\} \) has an element of minimal \( y \)-coordinate for all \( k \). Without loss of generality (the other case being similar), suppose that there are infinitely many \( j \in \mathbb{N} \) such that the set \( G_j \cap \{(−1, y) : y \in \mathbb{Z}\} \) has an element of maximal \( y \)-coordinate. Without loss (passing to a subsequence if necessary) we assume \( G_j \cap \{(−1, y) : y \in \mathbb{Z}\} \) has an element of maximal \( y \)-coordinate for all \( j \in \mathbb{N} \) and let \( y^\max_j \) be this \( y \)-coordinate. By \[10\], \( \tilde{f} \) is vertically periodic with minimal period \( p \). There exists \( 0 \leq J^\max < p \) such that for infinitely many \( j \), \( y^\max_j \equiv J^\max \pmod{p} \). Passing to this subsequence and maintaining the same notation on indices \( j \), for each such \( j \), let \( k_j \in \mathbb{Z} \) be such that \( y^\max_j = k_j \cdot p + J^\max \). By periodicity, \( \tilde{f}|G_j − (0, k_j \cdot p) \) does not extend uniquely to an \( \eta \)-coloring of any larger convex set and the point \((−1, J^\max)\) is the top-most element of \( \{(−1, y) : y \in \mathbb{Z}\} \cap (G_j − (0, k_j \cdot p)) \) for all \( j \).

Set
\[
G_\infty := \bigcup_j (G_j − (0, k_j \cdot p))
\]
if necessary, we again make a change of coordinates and assume that \( J^\max = 0 \). Thus
\[
G_\infty \text{ is an } E(\tilde{S})\text{-enveloped set that intersects every vertical line in } H_{−1}.
\]
By construction, \( E(G_\infty) \) has a semi-infinite edge that points vertically downward from \((0, 0)\). By \[19\],
\[
G_\infty \text{ has a nonhorizontal, semi-infinite edge } u \in E(G_\infty)
\]
and \( u \) is parallel to some edge in \( E(\tilde{S}) \). This edge determines a nonexpansive direction for \( \tilde{\eta} \), since \( \tilde{f}|G_\infty \) cannot be uniquely extended to any larger \( E(\tilde{S})\)-enveloped set.

Define \( K \supset G_\infty \) by taking
\[
K \text{ is the smallest } E(\tilde{S})\text{-enveloped set containing } G_\infty \text{ with } u \notin \partial K,
\]
meaning that \( K \) is the set obtained by extending the successor edge to \( u \) backwards until it intersects an integer point and then taking the convex hull (note that successor edge is meant with respect to positive orientation on the boundary). By construction,
\[
\text{there exists } \tilde{h} \in X_{\tilde{\eta}} \text{ such that } \tilde{f}|G_\infty = \tilde{h}|G_\infty \text{ and } \tilde{f}|K \neq \tilde{h}|K.
\]
By \[10\], \( \tilde{f} \) is vertically periodic and so
\[
\tilde{h}|G_\infty \text{ is vertically periodic (with minimal period } p) \text{ but } \tilde{h}|K \text{ is not.}
\]

We use the construction of \( G_\infty \) to eliminate the case of 2 nonexpansive lines:

**Proposition 3.3.** Suppose there are exactly two nonexpansive lines for \( X_\eta \). Then for all \( n \in \mathbb{N} \), \( P_\eta(R_{n,3}) > 3n \).
Proof. We proceed by contradiction and assume that \( \eta \) has exactly two nonexpansive directions and that there exists \( n \in \mathbb{N} \) such that \( \mu_\eta(R_{n,3}) \leq 3n \). Thus hypotheses 11 and 12 are satisfied. In particular, by 10, \( \eta \) is aperiodic.

We maintain the notation of the nonexpansive lines in (7) (where we assume only two), the quantities in (8) and (9), and of the construction of the set \( G_\infty \) defined in (18) satisfying (19). Since there are only two nonexpansive lines for \( \eta \), the edge \( u \) defined in (20) must either be parallel or antiparallel to \( \hat{\ell}_2 \). Let \( K \supset G_\infty \) be defined as in (21) and \( \hat{h} \) as in (22). Then \( K \setminus G_\infty \) can be written as

\[
K \setminus G_\infty = \bigcup_{k=1}^{k_0} (l_k \cap K),
\]

where \( l_1, l_2, \ldots, l_{k_0} \) are (undirected) lines parallel to \( \hat{\ell}_2 \) and \( k_0 \) is the number of lines produced in the construction of \( K \). By (22), \( \hat{h}|K \) cannot be extended to a vertically periodic \( \eta \)-coloring of \( H_- \). Let \( u_0 := u \) and label the edges of \( G_\infty \) by \( u_{i+1} := \text{succ}(u_i) \) for \( i = 0, \ldots, |E(G_\infty)| - 1 \), where \( \text{succ}(\cdot) \) denotes the successor edge taken with positive orientation.

Suppose \( u_I \) is the edge parallel to \( \hat{\ell}_1 \), meaning that \( u_I \) points vertically downward. Define a sequence of sets

\[
G_\infty = L_0 \subset L_1 \subset L_2 \subset \cdots \subset L_{I-1},
\]

where \( L_{i+1} \) is obtained from \( L_i \) by extending the edge of \( L_i \) parallel to \( u_{I-i} \) to be semi-infinite and taking the intersection of \( \mathbb{Z}^2 \) with the convex hull of the resulting shape (see Figure 3). Then \( E(L_{i+1}) = E(L_i) \setminus \{u_{I-i+1}\} \).

We claim that for \( 0 \leq i < I \), \( \hat{h}|L_i \) is vertically periodic, but possibly of larger period than that of \( \hat{h}|L_0 \) and that \( \hat{h}|L_i \) is eventually vertically periodic. For \( i = 0 \), this follows directly from the construction of \( G_\infty \). For \( i = 1 \), write

\[
L_1 \setminus L_0 = s_1 \cup s_2 \cup \cdots
\]

where \( s_j \) is the semi-infinite line defined by \( s_j := \{(-j - 1, y) : y \in \mathbb{Z}\} \cap L_1 \). For integers \( 0 \leq a \leq b \), write \( s_{[a,b]} = s_a \cup s_{a+1} \cup \cdots \cup s_b \). Suppose that \( \hat{h}|L_0 \cup s_{[1,j]} \) is vertically periodic. Let \( \vec{v}_j(i) \) be the translation of \( \hat{h}|L_0 \cup s_{[1,j]} \) such that the top-most element of \( \vec{v}_j + \vec{v}_j(i) \) is the point \( (j,i) \). If for all \( R < 0 \) there exists \( r \leq R \) such that \( (T^{-r}(\vec{v}_j)\hat{h})|S \setminus \vec{w}_1 \) extends uniquely to an \( \eta \)-coloring of \( \hat{S} \), then there is a unique extension of \( \hat{h}|L_0 \cup s_{[1,j]} \) to an \( \eta \)-coloring of \( L_0 \cup s_{[1,j]} \) by (11). In this case, arguing as in Step 1 in Proposition 2.16, the restriction of \( \hat{h} \) to \( L_0 \cup s_{[1,j]} \) is vertically periodic of the same period as \( \hat{h}|L_0 \cup s_{[1,j]} \). Otherwise there exists \( R < 0 \) such that for all \( r \leq R \) the coloring \( (T^{-r}(\vec{v}_j)\hat{h})|S \setminus \vec{w}_1 \) does not extend uniquely to an \( \eta \)-coloring of \( \hat{S} \). Then by Corollary 2.18, the restriction of \( \hat{h} \) to \( L_1 \cap s_{[j,j+1]} \) is eventually periodic of period at most \( |\vec{w}_1 \setminus \hat{S}| - 1 \) and the initial portion which may not be periodic has length at most \( m \) is the number of vertical lines in \( L_1 \) which have nonempty intersection with \( \hat{S} \setminus \vec{w}_1 \). So by Corollary 2.19 we have that \( \hat{h}|L_1 \cap s_{j+1} \) is eventually vertically periodic of period at most \( 2|\vec{w}_1 \setminus \hat{S}| - 2 \) and the initial portion which may not be periodic again has length at most \( |\vec{w}_1 \setminus \hat{S}| - 1 \). It follows by induction that \( \hat{h}|L_1 \) is eventually vertically periodic and \( (T^{(0,|\vec{w}_1 \setminus \hat{S}|+1)}\hat{h})|L_1 \) is vertically periodic. If \( L_1 = L_I \) then the
claim follows. Otherwise the semi-infinite edge of \( L_1 \) parallel to \( u_{I-1} \) determines an expansive direction for \( \tilde{\eta} \). Write

\[
L_2 \setminus (L_0 \cup (L_1 - (0, |\tilde{w} \cap \tilde{S}| - 1))) = \bigcup_{i=1}^{k_1} \tilde{s}_i
\]

where the \( \tilde{s}_i \) are semi-infinite lines parallel to \( u_{I-1} \). Since \( u_{I-1} \) is expansive, there is a unique extension of \( \tilde{h}|L_1 - (0, |\tilde{w} \cap \tilde{S}| - 1) \) to an \( \eta \)-coloring of \((L_1 - (0, |\tilde{w} \cap \tilde{S}| - 1)) \cup \tilde{s}_1\). Since \( L_1 - (0, |\tilde{w} \cap \tilde{S}| - 1) \) is colored in the same way as \( L_1 - (0, |\tilde{w} \cap \tilde{S}| - 1 - q) \), where \( q \) is the vertical period, and there is a unique way to extend this coloring to an \( \tilde{\eta} \)-coloring of \((L_1 - (0, |\tilde{w} \cap \tilde{S}| - 1)) \cup \tilde{s}_1\), we have that the vertical periodicity of \( \tilde{h}|L_1 - (0, |\tilde{w} \cap \tilde{S}| - 1) \) implies that \( \tilde{h}|(L_1 - (0, |\tilde{w} \cap \tilde{S}| - 1)) \cup \tilde{s}_1 \) is also vertically periodic. Inductively it follows that \( \tilde{h}|L_2 \) is vertically periodic. More generally, suppose that \( \tilde{h}|L_i \) is vertically periodic for \( i < I \). Then \( L_i \) has two semi-infinite edges, one of which it shares with \( L_0 \) and the other determines an expansive direction for \( \tilde{\eta} \). Write

\[
L_{i+1} \setminus L_i = t_1 \cup t_2 \cup \cdots
\]

where \( L_i \cup t_{[1,j]} \) is convex for all \( j = 1, 2, \ldots \), each \( t_j \) is the intersection of \( \mathbb{Z}^2 \) with a semi-infinite line parallel to \( u_{I-i} \) and contained in \( L_i \), and \( t_{[a,b]} = t_a \cup t_{a+1} \cup \cdots \cdot \cup t_b \).
... ∪ t_b. Suppose that \( \hat{h}|L_i \cup s_{[1,j]} \) is vertically periodic. Since \( u_{1-1} \) determines an \( \eta \)-expansive direction, there is a unique extension of \( L_i \cup t_{[1,j]} \) to an \( \eta \)-coloring of \( L_i \cup t_{[1,j+1]} \). By vertical periodicity, \( \hat{h}|L_i \cup t_{[1,j]} = (T^{(0,-q)} \hat{h})|L_i \cup t_{[1,j]} \), where \( q \) denotes the smallest vertical period of \( \hat{h}|L_i \cup t_{[1,j]} \). By uniqueness, \( \hat{h}|L_i \cup t_{[1,j+1]} = (T^{(0,-q)} \hat{h})|L_i \cup t_{[1,j+1]} \) and hence is also vertically periodic. By induction, this holds for all \( j \) and hence \( \hat{h}|L_{i+1} \) is vertically periodic. The claim follows.

Let \( C \) denote the smallest bi-infinite strip whose edges are parallel to \( \tilde{\ell}_2 \) that contains \( \hat{S} \setminus \hat{w}_2 \). Let \( J \in \mathbb{Z} \) be the maximal integer such that \( C + (0, J) \) is a subset of the region in \( \mathbb{Z}^2 \) on which \( \hat{h} \) is vertically periodic, let \( C_j := C + (0, j) \), and let \( Q \in \mathbb{N} \) be the smallest vertical period of \( \hat{h}|L_j - (0, J) \). The integer \( J \) is well-defined by (23). Then for all \( j \leq J \), we have that \( \hat{h}|C_j = (T^{(0,-Q)} \hat{h})|C_j \).

We claim that for all \( j \leq J \), \( \hat{h}|C_j \) is not periodic with period vector parallel to \( \tilde{\ell}_2 \). By the preceding remark, it suffices to show that this holds for all sufficiently negative values of \( j \). For all \( j \in \mathbb{Z} \) sufficiently negative that the only edge of \( L_0 \) that \( C_j \) intersects is the edge parallel to \( \tilde{\ell}_1 \) (all but finitely many \( C_j \) have this property), recall that \( \hat{h}|L_0 = \hat{f}|L_0 \). By the construction of \( \hat{f} \), we have that \( \hat{f}|H_{-1} \) cannot be extended to an \( \eta \)-coloring of \( \mathbb{Z}^2 \) which is periodic with period vector parallel to \( \tilde{\ell}_2 \). If \( \hat{h}|C_j \) is \( \ell_2 \)-periodic, then by Corollary 2.17 it follows that \( \hat{h} \) itself is \( \ell_2 \)-periodic. But the sequence \( (T^{(0,-k)} \hat{h}) \) has an accumulation point, and any such accumulation point is also \( \ell_2 \)-periodic. Moreover, the restriction of any such accumulation point to \( H_{-1} \) is one of the functions \( \hat{f}|H_{-1}, (T^{(0,-1)} \hat{f})|H_{-1}, \ldots, (T^{(0,-p+1)} \hat{f})|H_{-1} \) (where again \( p \in \mathbb{N} \) is the minimal vertical period of \( \hat{f} \)). This contradicts the fact that \( \hat{f}|H_{-1} \) does not extend to a \( \ell_2 \)-periodic coloring of \( \mathbb{Z}^2 \), and the claim follows.

If \( \ell_2 \) is not horizontal, then \( \hat{S} \) is \( u \)-balanced, where \( u \) is the edge defined in (20). In this case every line parallel to \( u \) that has nonempty intersection with \( \hat{S} \) contains at least \( |\hat{w}_2 \cap \hat{S}| - 1 \) integer points. Since \( \hat{h}|C_j \) is not \( \ell \)-periodic, the Morse-Hedlund Theorem implies that there are at least \( |\hat{w}_2 \cap \hat{S}| \) distinct \( \eta \)-colorings of \( \hat{S} \setminus \hat{w}_2 \) that occur in \( C_j \) (otherwise the coloring would be periodic). But there are at most \( |\hat{w} \cap \hat{S}| - 1 \) \( \eta \)-colorings of \( \hat{S} \setminus \hat{w}_2 \) that extend non-uniquely to an \( \eta \)-coloring of \( \hat{S} \), and so by Corollary 10 the coloring of \( C_j \) extends uniquely to an \( \eta \)-coloring of \( C_j \cup C_{j+1} \) for all \( j \leq J \). Since the restriction of \( \hat{h} \) to the region \( \bigcup_{j \leq J} C_j \) is vertically periodic and \( \hat{h}|C_j \) extends uniquely to an \( \eta \)-coloring of \( C_j \cup C_{j+1} \), the restriction of \( \hat{h} \) to the region \( \bigcup_{j \leq J+1} C_j \) is vertically periodic. But this contradicts the definition of \( J \). If \( \ell_2 \) is horizontal, then the same argument applies to \( \hat{S}^{\ell_2} \) in place of \( \hat{S} \), where \( \hat{S}^{\ell_2} \) is an \( \ell_2 \)-balanced subset of \( R_{n,3} \) constructed by Proposition 2.14.

Following standard terminology in the literature (e.g. [6]) we make the following definition:

**Definition 3.4.** Suppose \( T \subset \mathbb{Z}^2 \) and \( \tilde{u} \in \mathbb{Z}^2 \). We say that \( \alpha: T \to A \) is periodic when restricted to the region \( T \) with period vector \( \tilde{u} \) if \( \alpha(\tilde{x}) = \alpha(\tilde{x} + \tilde{u}) \) for all \( \tilde{x} \in T \) such that \( \tilde{x} + \tilde{u} \in T \).

**Proposition 3.5.** Suppose there are exactly three nonexpansive lines for \( \eta \). Then for all \( n \in \mathbb{N} \), \( P_N(R_{n,3}) > 3n \).
Proof. We proceed by contradiction and assume that \( \eta \) has exactly three nonexpansive directions and that there exists \( n \in \mathbb{N} \) such that \( P_\eta(R_{n,3}) \leq 3n \). Thus hypotheses \([11]\) and \([12]\) are satisfied. In particular, \( \eta \) is aperiodic \([9]\).

By Proposition \(2.7\) there exists an \( \eta \)-generating set \( S \subseteq R_{n,3} \) which satisfies \([1]\) and every nonexpansive direction for \( \eta \) is parallel to one of the edges of \( S \). By Proposition \(2.20\), the direction antiparallel to any nonexpansive direction is also nonexpansive. Since there are exactly three nonexpansive lines for \( \eta \), \( S \) has precisely six edges, all of which determine nonexpansive directions. Since \( S \subseteq R_{n,3} \), two of these edges must be horizontal and the remaining four edges each contain exactly two integer points. Again by Proposition \(2.20\), every edge of \( S \) is antiparallel to another edge of \( S \), and so \( \partial S \) is a hexagon comprised of three pairs of parallel edges. It follows that the two horizontal edges contain the same number of integer points and this number is at most \( n - 1 \). Let \( w_1 \in E(S) \) be the predecessor edge to the top horizontal edge in \( E(S) \) and recursively define \( w_{i+3} := \text{succ}(w_i) \) for \( i = 1, 2, 3, 4, 5 \) (see Figure 4). Then \( w_{i+3} \) is antiparallel to \( w_i \) for all \( i \), where the indices are understood to be taken \( \text{(mod } 6) \).

![Figure 4. The set \( S \) with oriented edges labeled.](image)

We summarize: \(|w_2 \cap \mathbb{Z}^2| = |w_5 \cap \mathbb{Z}^2| \leq n - 1 \) and \(|w_i \cap \mathbb{Z}^2| = |w_{i+3} \cap \mathbb{Z}^2| = 2 \) for \( i = 1, 3 \). It follows that

\[
S \text{ is balanced in every nonexpansive direction.}
\]

For convenience, define \( a_1, a_3, a_4, a_6 \in \mathbb{Z} \) such that

\( w_i \) is parallel to \((a_i, 1)\) for \( i = 1, 6 \) and \( w_i \) is parallel to \((a_i, -1)\) for \( i = 3, 4 \).

By convexity, one of the statements:

\[
\begin{align*}
& a_1, a_3 \leq 0; \\
& a_1 \leq 0, a_3 \geq 0, |a_1| > a_3; \\
& a_1 \geq 0, a_3 \leq 0, |a_3| > a_1;
\end{align*}
\]

holds. In each case, every horizontal line that has nonempty intersection with \( S \) contains at least

\[
|w_2 \cap S| \text{ integer points}
\]

(e.g. in the first case the middle horizontal line in \( S \) contains \(|w_2 \cap S| + |a_1| + |a_3| \) integer points, and the other cases are similar)\([10]\)

\[\footnote{This bound is stronger than our usual bound that every horizontal line that has nonempty intersection with \( S \) intersects in at least \(|w_2 \cap S| - 1 \) integer points.}\]
For \( j \in \mathbb{Z} \), let \( V_j \) be the horizontal half-plane defined by
\[
V_j := \{(x,y) : x \in \mathbb{Z}, y \leq j \}.
\]

Since the \( w_2 \) direction is nonexpansive for \( \eta \), by Proposition \ref{prop:nonexpansive} there exist \( f, g \in X_\eta \) such that \( f|V_0 = g|V_0 \) but \( f|V_1 \neq g|V_1 \). At most one of \( f \) and \( g \) is periodic with period vector parallel to \( w_1 \), and so we can suppose without loss that \( f \) is not.

Furthermore, without loss we can assume that
\[
f|V_1 \text{ does not extend to a periodic } \eta\text{-coloring of } \mathbb{Z}^2 \text{ with period parallel to } w_1.
\]

Since \( S \) is \( w_2 \)-balanced by \ref{prop:balanced}, it follows from Proposition \ref{prop:nonexpansive} that \( f \) is horizontally periodic and the restriction of \( f \) to any horizontal strip of height two has period at most \( 2|w_2 \cap S| - 2 \).

Set
\[
B := \{(x, y) \in \mathbb{Z}^2 : y \in \{-1, 0\}\} \text{ and } C := \{(x, y) : y \in \{-1, 0, 1\}\}.
\]

For any \( j \in \mathbb{Z} \) such that \( (T^{(0,j)}f)|B \) does not extend uniquely to an \( \eta \)-coloring of \( C \), we have that \( (T^{(0,j)}f)|B \) is horizontally periodic of period at most \( |w_1 \cap S| - 1 \).

In particular, this holds for \( j = 0 \).

We claim that there are infinitely many integers \( j \leq 0 \) such that
\[
(T^{(0,j)}f)|B \text{ does not extend uniquely to an } \eta\text{-coloring of } C.
\]

The proof of the claim is similar to that of \ref{claim:infinitely}. We proceed by contradiction. Suppose that there exists an integer \( J \leq 0 \) such that for all \( j < J \), the coloring \( (T^{(0,j)}f)|B \) extends uniquely to an \( \eta \)-coloring of \( C \) and assume that \( |J| \) is minimal. Since \( f|V_0 \) is horizontally periodic, there are only finitely many \( \eta \)-colorings of the form \( (T^{(0,j)}f)|B \) for \( j \leq 0 \). Say there are \( M \) such colorings. Then by the pigeonhole principle, there exist \( 1 \leq j_1 < j_2 \leq M + 2 \) such that \( (T^{(0,J-j_1)}f)|B = (T^{(0,J-j_2)}f)|B \).

Choose \( j_1 \) to be the smallest integer such that there exists \( j_2 \) with this property. Then by construction, \( (T^{(0,J-j_1)}f)|C = (T^{(0,J-j_2)}f)|C \) and hence \( (T^{(0,J-j_1)}f)|B = (T^{(0,J-j_2)}f)|B \). If \( J_1 > 1 \), this contradicts the minimality of \( j_1 \). If \( J_1 = 1 \), then the fact that \( (T^{(0,J-j_2)}f)|B \) is horizontally periodic of period \( |B| = (T^{(0,J)}f)|B \) extends uniquely to an \( \eta \)-coloring of \( C \) contradicts the minimality of \( |J| \).

We claim that there do not exist integers \( y_1, y_2 \in \mathbb{Z} \) such that both of the following hold simultaneously:
\[
\begin{align*}
(30) & \quad \text{for all } x \in \mathbb{Z}, (T^{(x,y_1)}f)|S_R \text{ extends uniquely to an } \eta\text{-coloring of } S, \\
(31) & \quad \text{for all } x \in \mathbb{Z}, (T^{(x,y_2)}f)|S_L \text{ extends uniquely to an } \eta\text{-coloring of } S.
\end{align*}
\]

We prove the claim by contradiction. Suppose instead that such integers \( y_1, y_2 \in \mathbb{Z} \) exist and assume \( y_1 \leq y_2 \) (the other case being similar). Define \( F := [0, |S|] \times [y_1, y_2 + 2] \) and observe that since \( f \in X_\eta \), there exists \( \bar{u} \in \mathbb{Z}^2 \) such that \( f[F] = (T^{\eta \bar{u}}f)[F] \). By \ref{claim:infinitely} and \ref{claim:infinitely}, \( T^{\eta \bar{u}} \) coincides with \( f \) on the set
\[
F \cup ([0, \infty) \times [y_1, y_1 + 2]) \cup ((-\infty, 0] \times [y_2, y_2 + 2]),
\]
and so $T^{\vec{u}}\eta$ is horizontally periodic on this set. Let $v \in V(S)$ be the vertex of intersection of the edges $w_1$ and $w_2$. There is a translation of $S$ that takes $v$ to the point $(|S| + 1, y_1 + 3)$ and takes $S \setminus v$ to a subset of $F \cup ([0, \infty) \times [y_1, y_1 + 2])$. Since $S$ is $\eta$-generating and $T^{\vec{u}}\eta$ coincides with $f$ on $F \cup ([0, \infty) \times [y_1, y_1 + 2])$, we have that

$$(T^{\vec{u}}(\eta))(|S| + 1, y_1 + 3) = f(|S| + 1, y_1 + 3).$$

It follows by induction that $(T^{\vec{u}}(\eta))(|S| + k, y_1 + 3) = f(|S| + k, y_1 + 3)$ for all $k \geq 1$. A similar induction argument shows that

$$(T^{\vec{u}}(\eta))(|S| + k, y_1 + 2 + j) = f(|S| + k, y_1 + 2 + j)$$

for all $k \geq 1$ and all $1 \leq k \leq y_2 - y_1$. Therefore $T^{\vec{u}}\eta$ and $f$ coincide on the set larger than in (32), defined by:

$$F \cup ([0, \infty) \times [y_1, y_2 + 2]) \cup ((-\infty, 0] \times [y_2, y_2 + 2]).$$

A similar argument, using the vertex $v' \in V(S)$ that is the intersection of the edges $w_4$ and $w_5$ in place of $v$, shows that $T^{\vec{u}}\eta$ and $f$ coincide on the set

$$(-\infty, \infty) \times [y_1, y_2 + 2],$$

and so $T^{\vec{u}}\eta$ is horizontally periodic on this set. Since $S$ is horizontally balanced by $[24]$ it follows from Corollary $2.17$ that $T^{\vec{u}}\eta$ is horizontally periodic and hence $\eta$ is periodic. This is a contradiction of (6) and the claim follows.

Thus henceforth we assume that for all $y \in \mathbb{Z}$, there exists $x_y \in \mathbb{Z}$ such that

$$(33) \quad (T^{(x_y, y)}f)|_{S_R} \text{ does not extend uniquely to an } \eta\text{-coloring of } S.$$

(The remainder of the proof is analogous if instead, for all $y \in \mathbb{Z}$, there exists $x_y \in \mathbb{Z}$ such that $(T^{(x_y, y)}f)|_{S_L}$ does not extend uniquely to an $\eta$-coloring of $S$.)

**Claim 3.6.** There exists a nonpositive integer $y$ such that $f|_{V_y}$ is doubly periodic, $f|_{V_{y+1}}$ is not doubly periodic, and either $(-a_1, -1)$ or $(-a_6, -1)$ is a period vector for $f|_{V_y}$.

As $V_y$ is a half plane, doubly periodic is interpreted in the sense of Definition [3.4].

Recall that $B = \{(x, y) \in \mathbb{Z}^2 : y \in \{-1, 0\}\}$. Let $B'$ be the thinnest strip with edges parallel and antiparallel to $w_1$ which contains $S \setminus w_1$. For $x \in \mathbb{Z}$, let

$$B'_x := B' + (x, 0).$$

If there exists $x_0 \in \mathbb{Z}$ such that $f|_{B'_{x_0} \cap V_0}$ does not extend uniquely to an $\eta$-coloring of $(B'_{x_0} \cup B'_{x_0+1}) \cap V_0$, then for any $\bar{u} \in \mathbb{Z}^2$ such that $(S \setminus w_1 + \bar{u}) \subset B'_{x_0} \cap V_0$, since $S$ is $\eta$-generating we have that $(T^{-\bar{u}}f)|_{S \setminus w_1}$ extends non-uniquely to an $\eta$-coloring of $S$. Since $S$ satisfies [1], by Corollary [2.3] we have that $D_\eta(S \setminus w_1) > D_\eta(S)$. Since $|w_1 \cap S| = 2$, there is precisely one coloring of $S \setminus w_1$ that extends non-uniquely to an $\eta$-coloring of $S$. In particular, since

$$B'_{x_0} \cap V_0 = \bigcup_{k=2}^{\infty} ((S \setminus w_1) + (x_0 - ka_1, -k))$$

it follows that $B'_{x_0} \cap V_0$ is periodic with period vector $(-a_1, -1)$. Since $f|_{V_1} \neq g|_{V_1}$, we have that $f|_{B'}$ is horizontally periodic of period at most $|w_3 \cap S| - 1$. The region $(B'_{x_0} \cap V_0) \cap B$ is convex and both $\{(x, -1) : x \in \mathbb{Z}\}$ and $\{(x, 0) : x \in \mathbb{Z}\}$ intersect it in at least $|w_3 \cap S| - 1$ integer points by [24], as the strip $B'_{x_0}$ is only wide enough to contain $S \setminus w_1$. Therefore $f(x, 0) = f(x - a_1, -1)$ for all $x \in \mathbb{Z}$.
Recall that $S \subseteq R_{n,3}$, and we assume that the bottom most row of $R_{n,3}$ lies on the $x$-axis. We have that $S + (x_0 - 2a_1, -2)$ is contained in the set $(B'_{x_0} \cap V_0) \cup B$. If $v \in V(S)$ is the vertex incident to $w_5$ and $w_1$, observe that $(S \setminus v) + (x_0 - 3a_1, -3)$ is also contained in $(B'_{x_0} \cap V_0) \cup B$ and moreover that $$(T^{-(x_0 - 2a_1, -2)} f)|S \setminus v = (T^{-(x_0 - 3a_1, -3)} f)|S \setminus v.$$ Since $v$ is $\eta$-generated by $S$, $$ (T^{-(x_0 - 2a_1, -2)} f)|S = (T^{-(x_0 - 3a_1, -3)} f)|S.$$ It follows by induction that the coloring $f|(B'_{x_0} \cup B'_{x_0+1}) \cap V_0$ is periodic with period vector $(-a_1, -1)$. Inductively it follows that the restriction of $f$ to $V_0 \cap \bigcup_{k=0}^{\infty} B'_{x_0+k}$ is periodic with period vector $(-a_1, -1)$ as well. A final induction, where the vertex $v$ is replaced by the vertex $v'$ incident to $w_2$ and $w_5$, shows that $f|V_0$ is doubly periodic and that $(-a_1, -1)$ is a period vector. A similar argument applies if there exists $x_0 \in \mathbb{Z}$ such that $f|B_{x_0} \cap V_0$ does not extend uniquely to an $\eta$-coloring of $(B_{x_0-1} \cup B'_{x_0}) \cap V_0$. Thus we are finished unless for every $x \in \mathbb{Z}$, the coloring $f|B_x \cap V_0$ extends uniquely to an $\eta$-coloring of $(B_{x-1} \cup B'_{x} \cup B'_{x+1}) \cap V_0$. If $$D(r) := \bigcup_{k=2}^{r} (\mathcal{S} \setminus w_1) + (-ka_1, -k),$$ it follows that for all $x \in \mathbb{Z}$ there exists $R_x \in \mathbb{N}$ such that for all $r > R_x$, the coloring $(T^{(x,0)} f)|D(r)$ extends uniquely to an $\eta$-coloring of $$\bar{D}(r) := \bigcup_{k=2}^{r} \mathcal{S} + (-ka_1, -k) \cup \bigcup_{k=2}^{r} \mathcal{S} + (-ka_1 - 1, -k)$$ (we assume $R_x$ is minimal with this property). Since $f|V_1$ is horizontally periodic, the set $\{R_x: x \in \mathbb{Z}\}$ is finite so $R := \max_{x \in \mathbb{Z}} R_x$ is well-defined. It also follows that $f|V_0 \setminus V_{-R}$ is doubly periodic where $(-a_1, -1)$ is one period vector and the horizontal period is at most $|w \cap \mathcal{S}| - 1$. For $s \in \mathbb{N}$, set $$E(s) := \bigcup_{k=0}^{s} (\mathcal{S} \setminus w_6) + (-Ra_1 - ka_3, -R - k).$$ As above, if there exists $x \in \mathbb{Z}$ such that $(T^{(x,0)} f)|E(s)$ extends non-uniquely to an $\eta$-coloring of $$\bar{E}(s) := \bigcup_{k=0}^{s} \mathcal{S} + (-Ra_1 - ka_3, -R - k) \cup \bigcup_{k=0}^{s} \mathcal{S} + (-Ra_1 - ka_3 - 1, -R - k),$$ then $f|V_{R-1}$ is doubly periodic and $(-a_0, -1)$ is a period for it. Otherwise, for all $x \in \mathbb{Z}$, there exists $R'_x \in \mathbb{N}$ such that for all $r > R'_x$, the coloring $(T^{(x,0)} f)|E(s)$ extends uniquely to an $\eta$-coloring of $\bar{E}(s)$ and again $R' := \max_{x \in \mathbb{Z}} R'_x$ is well-defined. The claim has been shown unless this last case occurs. In that case, for all $x \in \mathbb{Z}$ the coloring $(T^{(x,0)} f)|D(R) \cup E(R')$ extends uniquely to an $\eta$-coloring of $\bar{D}(R) \cup \bar{E}(R')$. It follows that for all $x \in \mathbb{Z}$, the coloring $(T^{(x,0)} f)|D(R) \cup E(R')$ extends uniquely to an $\eta$-coloring of $V_0 \setminus V_{R+R'}$. Since $f \in X_\eta$, there exists $u \in \mathbb{Z}^2$ such that $(T^u \eta)|D(R) \cup E(R')$ and therefore $f|V_0 \setminus V_{R+R'} = (T^u \eta)|R + R'$ is horizontally periodic. By Corollary 2.17 $\eta$ itself is horizontally periodic; a contradiction
of \(0\). Therefore either \(f|_V^0\) is doubly periodic with period vector \((-a_1, -1)\) or there exists \(R \in \mathbb{N}\) such that \(f|_V^R\) is doubly periodic with period vector \((-a_6, -1)\). Claim 3.6 follows.

Thus we can define \(y_0 \leq 0\) to be the integer of least absolute value for which Claim 3.6 holds. Recalling that \(f|_V^1\) is not doubly periodic, we have shown:

\[
(34) \quad f|_{V_{y_0}} \text{ is doubly periodic and } f|_{V_{y_0+1}} \text{ is not doubly periodic,}
\]

and either \((-a_1, -1)\) or \((-a_6, -1)\) is a period vector for \(f|_{V_{y_0}}\). Henceforth we assume that \(i \in \{1, 6\}\) is chosen such that \((-a_1, -1)\) is a period vector for \(f|_{V_{y_0}}\).

By (27), there exists \(j < y_0\) such that \((T^{(0,j)} f)|_R\) is horizontally periodic of period at most \(|w_2 \cap S| - 1\). Since \((-a_1, -1)\) is a period vector for \(f|_{V_{y_0}}\), it follows that the horizontal period of \(f|_{V_{y_0}}\) is at most \(|w_2 \cap S| - 1\). By (26), \(f|_{V_1}\) cannot be extended to a periodic coloring of \(\mathbb{Z}^2\) with period vector parallel to \(a_i\). It follows that \(f|_{V_{y_0+1}}\) is not doubly periodic (if \(y_0 < 0\) this follows from the definition of \(y_0\) and if \(y_0 = 0\) from (26)). Let \(p_1 \in V(S)\) be the vertex at the intersection of the edges \(w_1\) and \(w_2\), and let \(p_2 \in V(S)\) be the vertex at the intersection of the edges \(w_2\) and \(w_3\). Since \(S\) is \(\eta\)-generating, if there exists \(i \in \{1, 2\}\) and \(x \in \mathbb{Z}\) such that \((T^{-(x, y_0)} f)|S \setminus P_i\) coincides with \((T^{-(x, y_0)} f)|S \setminus P_i\), then \(f|_{V_{y_0+1}}\) is doubly periodic, a contradiction. It follows that for all \(m \in \mathbb{Z}\), there exists \(x \in \{m, m+1, \ldots, m + |w_2 \cap S| - 2\}\) such that

\[
(35) \quad f(x, y_0 + 1) \neq f(x - a_i, y_0).
\]

Let \(S_R\) be as in (28). By (25), every horizontal line that has nonempty intersection with \(S\) intersects in at least \(|w_1 \cap S|\) integer points, and so every such line intersects \(S_R\) in at least \(|w_1 \cap S| - 1\) integer points.

We claim that there are at least three distinct \(\eta\)-colorings of \(S_R\) which extend non-uniquely to an \(\eta\)-coloring of \(S\).

First by (33), there exists \(x \in \mathbb{Z}\) such that \((T^{-(x, y_0)} f)|S_R\) does not extend uniquely to an \(\eta\)-coloring of \(S\) and by (34) this coloring of \(S_R\) is periodic with period vector \((-a_1, -1)\). Thus there is an \(\eta\)-coloring of \(S_R\) that does not extend uniquely to an \(\eta\)-coloring of \(S\) and this coloring is periodic with period vector \((-a_1, -1)\).

Second, consider the set of colorings of \(S_R\) of the form \((T^{-(x, y_0)} f)|S_R\). By (33), there exists \(x_{y_0-1} \in \mathbb{Z}\) such that \((T^{-(x_{y_0-1}, y_0-1)} f)|S_R\) does not extend uniquely to an \(\eta\)-coloring of \(S\). By (35), there exists a integer point \((x, 2) \in w_2\) such that \((T^{-(x, y_0-1)} f)|S_R(x, 2) \neq (T^{-(x, y_0-1)} f)|S_R(x-a_i, 1)\) but the bottom two horizontal lines of \(S\) are periodic with period vector \((-a_1, -1)\) by (34). Therefore this coloring is distinct from the first coloring of \(S_R\).

Third, consider the set of colorings of \(S_R\) of the form \((T^{-(x, y_0)} f)|S_R\). Again by (33), there exists \(x_{y_0} \in \mathbb{Z}\) such that \((T^{-(x, y_0)} f)|S_R\) does not extend uniquely to an \(\eta\)-coloring of \(S\). By (35), there exists an integer point \((x, 0) \in w_1\) such that \((T^{-(x, y_0)} f)|S_R(x, 0) \neq (T^{-(x, y_0)} f)|S_R(x+a_i, 1)\). Therefore this coloring is distinct from the first two colorings. Thus we have three distinct \(\eta\)-colorings of \(S_R\) which extend non-uniquely to an \(\eta\)-coloring of \(S\).

But since \(S\) satisfies (1), we have \(D_\eta(S_R) > D_\eta(S)\). By definition, \(|S_R| = |S| - 3\), and so we have \(P_\eta(S_R) \leq P_\eta(S_R) + 2\). Therefore there are at most two colorings of \(S_R\) that extend non-uniquely to an \(\eta\)-coloring of \(S\), a contradiction. \(\square\)
4. COMPLETING THE PROOF OF THE MAIN THEOREM

We recall the statement of Theorem 1.1:

**Theorem.** Suppose \( \eta : \mathbb{Z}^2 \to A \) and there exists \( n \in \mathbb{N} \) such that \( P_\eta(n,3) \leq 3n \). Then \( \eta \) is periodic.

**Proof.** Suppose there exists \( n \in \mathbb{N} \) such that \( P_\eta(n,3) \leq 3n \). By Proposition 2.7 there exists an \( \eta \)-generating set \( S \subseteq R_{n,3} \). Since \( S \) is convex and the endpoints of any edge of \( \partial S \) are integer points in \( R_{n,3} \), \( E(S) \) has at most six edges. Also by Proposition 2.7 every nonexpansive direction is parallel to an edge in \( E(S) \), and so there are at most six nonexpansive directions for \( \eta \). By Proposition 2.10 every nonexpansive line has an orientation that determines a nonexpansive direction. By Proposition 2.20, the direction antiparallel to any nonexpansive direction is also nonexpansive (i.e. if \( \ell \) is a nonexpansive line then both orientations on \( \ell \) determine nonexpansive directions). Therefore there are at most three nonexpansive lines for \( \eta \).

There are four cases to consider. If there are no nonexpansive lines for \( \eta \), then \( \eta \) is doubly periodic by Theorem 1.2. If there is exactly one nonexpansive line for \( \eta \), then \( \eta \) is singly (but not doubly) periodic by Theorem 1.3. If there are exactly two nonexpansive lines for \( \eta \), then Proposition 3.3 implies that \( P_\eta(R_{n,3}) > 3n \), a contradiction. If there are exactly three nonexpansive lines for \( \eta \), then Proposition 3.5 implies that \( P_\eta(R_{n,3}) > 3n \), again a contradiction. The theorem follows. \( \square \)

5. FURTHER DIRECTIONS

Sander and Tijdeman [10] conjectured that for \( \eta : \mathbb{Z}^2 \to A \), if there exists a finite set \( S \subset \mathbb{Z}^2 \) that is the restriction of a convex set in \( \mathbb{R}^2 \) to \( \mathbb{Z}^2 \) and such that \( P_\eta(S) \leq |S| \), then \( \eta \) is periodic. Their result in [11] shows that this conjecture holds for rectangles \( R_{n,2} \) of height 2. More generally, rephrasing their arguments in our language, their proof also covers more convex shapes of height 2. Namely, if \( S \subset \mathbb{Z}^2 \) is a finite set that is the restriction of a convex set in \( \mathbb{R}^2 \) to \( \mathbb{Z}^2 \) satisfying \( P_\eta(S) \leq |S| \) and such that \( S \) is contained in the union of two adjacent parallel rational lines, then \( \eta \) is periodic. The construction of a generating set works in the more general setting of such a shape \( S \), and results in a generating set with 3 or 4 edges, and with the possible exception of a single direction (the analog of horizontal) it is balanced. There can be at most 2 nonexpansive directions, and we eliminate the case of 2 in a similar manner to that done for rectangular shapes.

However, in height 3, we are unable to generalize our result of Theorem 1.1 to prove the analog for more general convex shapes with a restriction on the height, meaning a convex shape contained in a strip of width 3. While the construction of generating sets passes through, resulting in generating sets with at most 6 edges, we are not able to show that they are balanced in all (but perhaps the analog of the horizontal) directions. This is the only hurdle remaining for completing a more general result for configurations of height 3.

For more general rectangles \( R_{n,k} \) with \( k \geq 4 \), the construction of generating sets, once again, is general. Again, a problem arises with proving the existence of balanced sets. Furthermore, the counting of configurations seems to be significantly more difficult in the absence of the simple geometry available in height 3.
References


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